

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
28 December 2000 (28.12.2000)

PCT

(10) International Publication Number  
**WO 00/78821 A1**

- (51) International Patent Classification<sup>7</sup>: C08F 4/80
- (21) International Application Number: PCT/US00/40245
- (22) International Filing Date: 19 June 2000 (19.06.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/336,121 17 June 1999 (17.06.1999) US  
09/335,430 17 June 1999 (17.06.1999) US
- (71) Applicant: **WISCONSIN ALUMNI RESEARCH FOUNDATION** [US/US]; 614 Walnut Street, Madison, WI 53707-7365 (US).
- (72) Inventors: **KIESSLING, Laura, L.**; 2320 Lakeland Avenue, Madison, WI 53704 (US). **GORDON, Eva, J.**; 1319 Fairfield Court, Wheeling, IL 60090 (US). **STRONG, Laura, E.**; 4403 Dwight Road, Madison, WI 53704 (US).
- (74) Agents: **SULLIVAN, Sally, A.** et al.; Greenlee, Winner and Sullivan, P.C., Suite 201, 5370 Manhattan Circle, Boulder, CO 80303 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**  
— With international search report.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: METHODS AND REAGENTS MAKING MULTIVALENT ARRAYS AND COMBINATORIAL LIBRARIES OF MULTIVALENT ARRAYS

(57) Abstract: Methods of preparing libraries of multivalent arrays and libraries made by the methods. Component multivalent arrays of a library have defined length, defined terminal functionality and defined pendant functional groups. Methods include the preparation of a multivalent array having the steps of polymerizing at least one monomer comprising at least one polymerizable group and at least one latent reactive group in the presence of a metal carbene catalyst to form a polymer template comprising at least one latent reactive group; and combining the polymer template with at least one functionalizing reagent comprising at least one reactive group under conditions effective to react the latent reactive group of the polymer template with the reactive group of the functionalizing reagent to form a multivalent array. Methods further include preparation of a telechelic polymer (mono- or bi-telechelic) that use a ruthenium or osmium carbene catalyst and a capping agent, at least one of which is functionalized.

WO 00/78821 A1

METHODS AND REAGENTS MAKING MULTIVALENT  
ARRAYS AND COMBINATORIAL LIBRARIES OF MULTIVALENT  
ARRAYS

BACKGROUND OF THE INVENTION

New materials and methods of synthesis are emerging as significant areas of research and manufacturing. They have applications in the fields of biotechnology, medicine, pharmaceuticals, medical devices, sensors, optical materials, etc. The ring-opening metathesis polymerization (ROMP) method has emerged as a powerful synthetic method for the creation of such useful materials. Many examples in which ROMP has been used to generate functionalized materials have focused on the incorporation of pendant functionality into the monomers, thereby forming a multivalent array. As used herein, a multivalent array refers to a polymer (including a random or block copolymer) of varying lengths, including shorter oligomers having pendant functional groups that impart various properties to the polymer. Such multivalent arrays are also often referred to as multivalent ligands, multivalent displays, multidentate arrays, multidentate ligands, or multidentate displays.

Such multivalent arrays are particularly useful in the medical and biotechnology areas. For example, the binding of cell surface receptors to particular epitopes of multivalent arrays can trigger a wide variety of biological responses. Such multivalent binding events have unique consequences that are dramatically different than those elicited by monovalent interactions. For instance, signaling through the epidermal growth factor is promoted by the binding of divalent ligands, which apparently promote dimerization of the transmembrane receptor, yet monovalent ligands also bind the receptor but produce no signal. In addition, multivalent arrays have been shown to induce the release of a cell surface protein, suggesting a new mechanism for controlling protein display. In protein-carbohydrate recognition processes, multivalent saccharide-substituted arrays can exhibit increased avidity, specificity, and unique inhibitory potencies under dynamic conditions of shear flow. Thus, the ability to

synthesize defined, multivalent arrays of biologically relevant binding epitopes provides a means for exploring and manipulating physiologically significant processes.

Because they can span large distances, linear multivalent arrays of varying length and epitope density are particularly useful for probing structure-function relationships in biological systems. Chemical and chemoenzymatic routes have been developed for the generation of di- and trivalent ligands, dendrimers, and high molecular weight polymers, but well-defined, linear oligomers have proven more difficult to synthesize. Thus, what is needed is a general strategy to create diverse arrays of different multivalent materials of varying and controlled length.

One way in which this could be done is through the use of ROMP technology. ROMP has been used to generate defined, biologically active polymers (Gibson et al., *Chem. Commun.*, 1095-1096 (1997); Biagini et al., *Chem. Commun.*, 1097-1098 (1997); Biagini et al., *Polymer*, 39, 1007-1014 (1998); and Kiessling et al., *Topics in Organometallic Chemistry*, 1, 199-231 (1998)) with potent and unique activities that range from inhibiting protein-carbohydrate recognition events to promoting the proteolytic release of cell surface proteins (Mortell et al., *J. Am. Chem. Soc.*, 118, 2297-2298 (1996); Mortell et al., *J. Am. Chem. Soc.*, 116, 12053-12054 (1994); Kanai et al., *J. Am. Chem. Soc.*, 119, 9931-9932 (1997)); Kingsbury et al., *J. Am. Chem. Soc.*, 121, 791-799 (1999); Schrock et al., *J. Am. Chem. Soc.*, 112, 3875-3886 (1990); Gordon et al., *Nature*, 392, 30-31 (1998); and Sanders et al., *J. Biol. Chem.*, 274, 5271-5278 (1999). The assembly of multivalent materials by ROMP has several advantages over classical methods for generation of multivalent displays. Specifically, ROMP can be performed under living polymerization conditions, and if the rate of initiation is faster than that of propagation, varying the monomer to initiator ratio (M:I) can generate materials of defined length (Ivin, *Olefin Metathesis and metathesis polymerization*; Academic Press: San Diego, 1997). This approach has been successfully applied with the Grubb's ruthenium metal carbene catalyst ( $[(\text{Cy})_3\text{P}]_2\text{Cl}_2\text{Ru}=\text{CHPh}$ ) to generate materials with narrow polydispersities, indicating that the resulting substances are fairly homogeneous (Dias et al., *J. Am. Chem. Soc.*, 119, 3887-3897 (1997); and Lynn et al., *J. Am. Chem. Soc.*, 118, 784-790 (1996)). In contrast to anionic and cationic polymerization catalysts, ruthenium metal carbene initiators are tolerant of a wide range of functional groups.

There are, however, inherent disadvantages in the use of standard approaches that rely on ROMP to assemble biologically active materials. For example, the desired pendant functionality is incorporated into the monomers. Thus, a new functionalized cyclic olefin monomer, typically a functionalized bicyclic monomer, must be synthesized for each new polymer class to be produced. Also, the physical properties of each monomer, such as its solubility and the electron density and strain of the cyclic olefin, result in different rates of initiation, propagation, and non-productive termination of the reaction (Kanai et al., *J. Am. Chem. Soc.*, 119, 9931-9932 (1997)). In addition, purification of the desired products can be complicated depending on the structure of the monomer used.

Expedient, large-scale syntheses of multivalent arrays are hindered by these technical complications. Thus, what is needed is a general method for synthesizing multivalent arrays that addresses one or more of these issues. Ultimately, both large-scale production and the generation of libraries of oligomers would be facilitated by such a method.

An additional strategy for introduction of further modification in multivalent arrays is to incorporate selected functional groups at the termini of ROMP polymers. The attachment of additional functionality at polymer termini further expands the repertoire of uses for materials generated by ROMP. This selective end-capping has been used previously in living titanium and molybdenum-initiated ROMP reactions to synthesize materials for new applications, as demonstrated in the synthesis of surfaces bearing ROMP-derived polymers (Cannizzo et al., *Macromolecules*, 20, 1488-1490 (1987); Albagli et al., *J. Phys. Chem.*, 97, 10211-10216 (1993); and Albagli et al., *J. Am. Chem. Soc.*, 115, 7328-7334 (1993)). Unlike the titanium and molybdenum initiators, ruthenium ROMP initiators are tolerant of a wide variety of polar functional groups, allowing generation of products not accessible using other catalysts (Grubbs, *J.M.S. Pure Appl Chem.*, A31, 1829 (1994)). The attachment of specific end groups to polymers generated by ruthenium carbene-catalyzed ROMP provides access to materials amenable to further functionalization for applications such as selective immobilization of polymers to create new surfaces (Weck et al., *J. Am. Chem. Soc.*, 121, 4088-4089 (1999)) and the development of specific ligands that report on binding events, for example. Thus, what is needed are methods and reagents for the incorporation of selected functionality into the termini of polymers generated by ruthenium carbene-catalyzed ROMP.

## SUMMARY OF THE INVENTION

The present invention provides methods for synthesizing multivalent arrays, such as functionalized polymers (included within this term are relatively short oligomers).

Significantly, the methods of the present invention can provide access to a wider range of materials with significant functions. For example, they can be used to generate libraries of oligomeric substances that differ in appended functionality, terminal functionality, as well as in length. Significantly, the methods of the present invention provide the ability to control the number, type and position of pendant functional groups as well as to provide for selected functionality at the polymer ends. Such design control is important for elucidating structure/function relationships in biological systems, for example. The methods of the present invention can be used to produce random copolymers (i.e., polymers derived from two or more different monomers). In addition, block copolymers can be generated in which some blocks are held invariant while others are diversified through the method of the present invention. The blocks can vary in the backbone and/or the pendant functional groups.

In one embodiment, the present invention provides a method of preparing a multivalent array. The method includes: polymerizing at least one monomer comprising at least one polymerizable group and at least one latent reactive group in the presence of a metal carbene catalyst to form a polymer template comprising at least one latent reactive group; and combining the polymer template with at least one functionalizing reagent comprising at least one reactive group under conditions effective to react the latent reactive group of the polymer template with the reactive group of the functionalizing reagent to form a multivalent array. The monomer can optionally include functional groups nonreactive with the reactive group of the functionalizing reagent (herein, referred to as prefunctionalized monomers). In one specific embodiment, the latent reactive group of the monomer includes a nucleophilic group and the reactive group of the functionalizing reagent includes an electrophilic group. In another specific embodiment, the latent reactive group of the monomer includes an electrophilic group and the reactive group of the functionalizing reagent includes a nucleophilic group. In a particularly preferred embodiment, the electrophilic group is an activated ester group and the nucleophilic group is a primary amine group.

In a related embodiment, the method includes: polymerizing at least two monomers each of which comprises at least one polymerizable group wherein one monomer comprises

at least one latent reactive group in the presence of a metal carbene catalyst to form a polymer template comprising at least one latent reactive group; and combining the polymer template with at least one functionalizing reagent comprising at least one reactive group under conditions effective to react the latent reactive group of the polymer template with the reactive group of the functionalizing reagent to form a multivalent array. The other monomer or monomers can be prefunctionalized with a desired reactive or nonreactive functional group or carry no pendant functional group.

The polymer template, and hence, the multivalent array, made by these methods can be a block copolymer or a random copolymer. A block copolymer is formed by the method described above wherein polymerizing at least one monomer comprises sequentially polymerizing two or more different monomers in the presence of a metal carbene catalyst to form a polymer template comprising alternating blocks of the different monomers. The length of each block of monomers can be controlled. This method of block copolymer formation can also be used to generate polymers with selected spacing between functional groups. Alternatively, a random copolymer is formed by the method described above wherein polymerizing at least one monomer comprises simultaneously polymerizing two or more different monomers. Each different monomer can include a different latent reactive group for subsequent attachment of pendant functional groups. Such pendant functional groups can be derived from functionalizing reagents that react with the latent reactive group of the polymer template comprises a carbohydrate or a peptide.

The present invention further provides methods and reagents for the terminal attachment of functional groups to materials generated by ROMP. These methods and reagents can be used to synthesize a variety of functionalized polymers (herein, included within this term are relatively short oligomers). Significantly, the methods of the present invention can provide access to a wide range of materials with significant functions. For example, they can be used to generate libraries of oligomeric substances that differ in terminal functionality, type and number of functional groups, as well as in length. Such materials can include functionality that allows for immobilization on a substrate surface, for example. Alternatively, such materials can include reporter groups such as functionality capable of fluorescence, which allows for the creation of a molecular probe that can be used to visualize a receptor-ligand interaction on a cell surface. Another advantage of

incorporating terminal functionality is that this can allow for easier purification of the polymers. Such diverse materials can be prepared using a capping agent, preferably a bifunctional capping agent, and/or a functionalized metathesis catalyst. Method for introducing terminal functionality can be employed with conventional ROMP polymerization methods or with improved methods disclosed herein for synthesis of functionalized polymers from polymer templates.

In one embodiment of the present invention a method of preparing a telechelic polymer (preferably, a monotelechelic polymer) is provided. The method includes: polymerizing at least one monomer comprising at least one polymerizable group in the presence of at least one ruthenium or osmium carbene catalyst to form a polymer; and combining the polymer with at least one functionalized capping agent under conditions effective to react the polymer with the capping agent to form a terminally functionalized polymer.

The functionalized capping agent can include a latent reactive group for subsequent reaction with a functionalizing reagent. Alternatively, the functionalized capping agent can include a nonreactive functional group (i.e., one that has the desired functionality without further reaction).

In another embodiment, the present invention provides a method of preparing a telechelic polymer that involves: polymerizing at least one monomer comprising at least one polymerizable group in the presence of at least one functionalized ruthenium or osmium carbene catalyst to form a functionalized polymer; and combining the functionalized polymer with at least one capping agent under conditions effective to react the functionalized polymer with the capping agent to form a terminally functionalized polymer.

The functionalized carbene catalyst can include a latent reactive group for subsequent reaction with a functionalizing reagent. Alternatively, the functionalized carbene catalyst can include a nonreactive functional group (i.e., one that has the desired functionality without further reaction).

In yet another embodiment, the present invention provides a method of preparing a bitelechelic polymer. The method involves: polymerizing at least one monomer comprising at least one polymerizable group in the presence of at least one functionalized ruthenium or osmium carbene catalyst to form a functionalized polymer; and combining the functionalized

polymer with at least one functionalized capping agent under conditions effective to react the functionalized polymer template with the capping agent to form a bitelechellic polymer.

The terminally functionalized polymer of this invention that is synthesized employing a functionalized ROMP catalyst can, by choice of type and amount of monomer used, be synthesized to contain additional (non-terminal) functional groups, including latent reactive groups, and non-reactive groups. The terminally functionalized polymer that is reacted with a functionalized capping agent of this invention can contain additional (nonterminal) functional groups, including latent reactive groups, and non-reactive groups. Polymers containing latent reactive groups are designated polymer templates herein which can be selectively functionalized after polymer synthesis by reaction with a functionalizing reagent.

Also provided are functionalized capping agents and functionalized carbene ROMP catalysts for use in the methods of this invention.

The methods of this invention can be employed to generate libraries of multivalent arrays (polymers carrying functional groups). Methods of making such libraries and the libraries themselves are provided by this invention. A library is constructed so that the individual members of the library span a range of selected structural features, e.g., length, type and number of functional groups, functional group position, and type and number (1 or 2) of terminal functional groups. Methods of this invention allow synthesis of multivalent arrays of defined length, defined density of functional groups, defined distance between functional groups, defined combinations of different functional groups (of defined relative number and spacing), defined position of the same or different functional groups, and defined groupings of functional groups. Libraries of multivalent arrays of this invention include those in which each of the library members has a defined length and each of the library members has a defined functional group density.

Libraries of this invention are useful in screening and selection of multivalent arrays that exhibit a desired function. Libraries of multivalent arrays for screening for various biological activities (cell surface binding, biological signaling effects, etc.) are of particular interest.

The present invention also provides polymer templates and kits that include at least one polymer template. The kits also include instruction means for using a functionalizing reagent to attach a pendant functional group to the polymer template. The kits can also



includes at least one functionalizing reagent and/or at least one capping agent. The capping agents provided in kits can be functionalized and may include capping agents that are or can be linked to a solid support or metal surface. Functionalized capping agents may also contain a cleavable linker that is between the polymer and the attached functional group (e.g., a solid support). A kit can further provide a reagent or instructions for cleaving the cleavable linker.

## BRIEF DESCRIPTION OF DRAWINGS

Figure 1: Schematic of two synthetic routes for the formation of random copolymers.

Figure 2: Two synthetic routes used to generate the same multivalent mannose arrays.

(A) An example of the method of the present invention involving polymerization of a nonpolar activated ester monomer template 1 followed by post synthetic modification of the resultant polymer template 3 with a carbohydrate recognition element 4. (B) An example of a conventional method involving polymerization of a carbohydrate-functionalized monomer 5 under emulsion conditions.

Figure 3. Mechanism and intermediates in ring-opening metathesis polymerization (ROMP) demonstrating the incorporation of a functional group in the carbene catalyst and termination with a derivatized electron rich olefin.

Figure 4. Mechanism and intermediates in ring-opening metathesis polymerization (ROMP) of monotelechelic (if either R or R' includes functionality) and bitelechelic polymers (if both R and R' include functionality).

Figure 5. Examples of optionally substituted monomers suitable for use in the present invention.

Figure 6. Examples of metal carbene catalysts suitable for use in the present invention.

Figure 7A. Examples of capping agents containing reactive functional groups.

Figure 7B. Examples of capping agents containing nonreactive functional groups.

Figure 8A. Illustration of the use of a capping agent with a cleavable linker.

Figure 8B. Illustration of an exemplary synthesis of a capping agent with a cleavable linker.

Figure 9. Examples of polymer templates that can be prepared by the methods of this invention.

Figure 10. GPC data shows that while the results from each polymerization are internally consistent, the emulsion polymerization conditions (Route B in Figure 2) yield polymers of shorter relative length than the post synthetic modification conditions (Route A in Figure 2).

5           Figure 11. Dependence of hemagglutination inhibition on polymer length.  $IC_{50}$  values are reported (on a per saccharide basis). Potency was determined relative to  $\alpha$ -methyl mannose. The results are the average of a minimum of five experiments, and the error associated with the dose determination is a factor of two, as dictated by the two fold dilutions in the assay. PSM stands for the post synthetic modification conditions of Route A in Figure 10 2, and E represents the emulsion conditions of Route B in Figure 2.

Figure 12. Scheme for the synthesis of end-capped polymers. Reagents and conditions: for 1 and 2 (a) 1,2-Dichloroethane (DCE), 30 minutes; for 3 (b) Dodecyltrimethylammonium bromide (DTAB) (1.6 equivalent), 2,2-bis(hydroxymethyl)-2,2',2''-nitrilotriethanol (bis-tris) buffer (100 mM, pH 5.9), DCE, 45 °C, 30 minutes; (c) 15 excess capping agent 8 was added neat; (d) (2-aminoethyl)-3,6-*O*-disulfo- $\beta$ -D-galactopyranoside, diisopropylcarbodiimide,  $Et_3N$ , DMF,  $H_2O$ ; (e) 50 mM NaOH, 60 °C, 2 hours; (f) 5-((5-aminopentyl)thioureidyl) fluorescein, EDCI, *N*-hydroxysulfosuccinimide,  $H_2O$ , 24 hours.

Figure 13. Scheme for the synthesis of a fluorescent neoglycopolymer via a terminal 20 aldehyde.

Figure 14. Fluorescein-labeled anti-L-selectin antibody (A), fluorescein-conjugated neoglycopolymer 12 (B), and fluorescein-conjugated neoglycopolymer 17 (C) binding to Jurkat cells as observed by fluorescence microscopy. Each image is an individual cell at 630x magnification and is representative of at least four independent experiments.

## 25           DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention provides methods for synthesizing multivalent arrays. Preferably, the present invention provides general methods that can be used for both large-scale production and for the generation of libraries of oligomers, for example. Preferred 30 embodiments of the present invention are significant because they are relatively high yielding, general, convenient, and/or efficient for the preparation of polymers of varying average

lengths, varying epitope density, and varying functionality, for example. Of particular significance is the ability of the methods of the present invention to control the formation of arrays of varying length.

In one aspect, the methods of the present invention are based on the post-  
5 polymerization modification of a polymer backbone generated by a metal carbene-catalyzed ROMP system. In contrast to conventional methods that incorporate the desired pendant functional groups into the monomers, the methods of the present invention attach the desired pendant functional groups to preformed polymers. Significantly, the attachment of pendant functionality to preformed polymers generated by metal carbene-catalyzed ROMP provides  
10 better control and access to a wider variety of materials than previous methods were able to provide. Such materials provide unique surfaces or ligands for a wide variety of natural and synthetic receptors.

Generally, the methods involve the use of a monomer and a ROMP metal carbene catalyst (also referred to as a metal carbene catalyst) to form an intermediate polymer  
15 (referred to herein as a polymer template). Preferably, the monomer and ROMP catalyst are sufficiently soluble in a common solvent, typically an organic solvent or mixture of solvents, to allow for the polymerization of the monomer, although the reaction can be carried out in the absence of a solvent (i.e., neat). Alternatively, more polar solvents such as water can be used if the metal carbene catalyst and the monomer are mutually soluble. The monomer  
20 includes in its structure at least one polymerizable group and at least one latent reactive group for subsequent attachment of a pendant functional group (i.e., subsequent functionalization). Thus, suitable latent reactive groups are those that are unreactive during the initial ROMP reaction but reactive during the subsequent functionalization (hence, the term "latent"). Examples of latent reactive groups include activated leaving groups such as an activated ester  
25 or protected functional groups such as a protected amine. As used herein, a "protected" group is one in which the intrinsic reactivity of the group is masked temporarily (i.e., the "mask" can be removed). Preferably, the monomer is a nonpolar monomer (i.e., one that is soluble in organic solvents), which can simplify isolation of the resultant polymer.

The resultant intermediate polymer acts as a template to which one or more functional  
30 groups can be appended using one or more functionalizing reagents that react with the latent reactive groups. In a typical reaction only one type of functional group is appended to a

polymer template; however, by using less than stoichiometric amounts of several functionalizing reagents, several different functional groups can be appended to the polymer template. These functional groups may provide a recognition element (i.e., binding site) for a biological entity, such as a cell surface receptor. Alternatively, they may be generally unreactive (e.g., nonbinding to a cell surface receptor). Thus, the resultant polymers may be bioactive or biocompatible.

In the initial ROMP reaction, varying the ratio of monomer to ROMP catalyst (i.e., initiator) results in varying the length of the resultant polymer. Also in the initial ROMP reaction, different monomers can be used. A random copolymer can be made by polymerizing two or more different monomers. Each of the monomers can have different latent reactive groups for subsequent attachment of pendant functional groups. This is one way in which different pendant functional groups can be appended to the backbone, in addition to the method described above which depends on the addition of less than stoichiometric amounts of several functionalizing reagents. Alternatively, a block copolymer can be made by polymerizing a first monomer, adding a second monomer once the first monomer is completely consumed, etc. Another way in which to incorporate different pendant functional groups is to use a monomer that already includes a desired pendant functional group that requires no further functionalization, which is unreactive during the ROMP reaction, as is done in conventional ROMP methods (see, for example, Compound 5, Figure 2, Route B). Using monomers with and without pendant functional groups provides additional advantage to the methods of the present invention.

A schematic of these various methods of making random polymers is shown in Figure 1. In Figure 1A, a single monomer is used to make a polymer template having the same latent reactive group (A) per repeat unit, to which less than stoichiometric amounts of three different functionalizing reagents (one containing functional group B, one containing functional group C, and one containing functional group D) are added to form a polymer having the same repeat unit in the backbone with different pendant functional groups (B, C, D). Alternatively, different monomers could be used, each with the same latent reactive group, to form a polymer template having different repeat units in the backbone but the same latent reactive groups. In Figure 1B, different monomers, some of which have different latent reactive groups (U, V) and stable functional groups (T), are polymerized to form a polymer

template having different repeat units and different latent reactive groups to which two different functionalizing reagents are added, either sequentially or simultaneously, to form a polymer having different repeat units in the backbone with different pendant functional groups (T, Y, Z).

5 Subsequent to the initial ROMP reaction and/or subsequent to the addition of pendant functional groups, the backbone of the polymer can be optionally modified for additional advantage. For example, the backbone can be reduced to eliminate double bonds (as through the use of a diimide) or oxidized to form hydroxyl groups (as through the use of  $\text{OsO}_4$ ). Other alkene functionalization can also be incorporated into the backbone to yield desired  
10 materials.

An example of one synthetic route according to the method of the present invention is shown in Figure 2. In this example, multivalent mannose arrays are prepared. Figure 2A shows an example of a method of the present invention involving polymerization of a nonpolar activated ester monomer 1 followed by post synthetic modification of the resultant  
15 polymer template 3 with a carbohydrate-containing functionalizing reagent 4. For comparison purposes, Figure 2B shows an example of a conventional method involving polymerization of a carbohydrate-functionalized monomer 5 under emulsion conditions.

In another aspect, the present invention provides methods and reagents for the terminal attachment of new functional groups to materials generated by ROMP. Preferred  
20 embodiments of the methods of the present invention are significant because they are relatively high yielding, general, convenient, and/or efficient for the preparation of polymers of varying average lengths, varying type, number and distribution of functional groups and varying terminal functionality, for example. Significantly, the attachment of chain terminating functionality to polymers generated by metal carbene-catalyzed ROMP provides  
25 access to a wider variety of materials than previous polymerization methods were able to provide. Such materials provide unique surfaces or ligands for a wide variety of natural and synthetic receptors.

In preferred ROMP methods for this invention, the rates of termination and chain transfer are relatively slow compared to propagation. When initiation ( $k_i$ ) is fast relative to  
30 propagation ( $k_p$ ) such that  $k_i > k_p$ , homogeneous materials of controlled lengths and low polydispersities can be generated (Figure 3). In a living polymerization, the active metal

carbene center is present at the end of each chain after the monomer is consumed (Figure 3, III). This species can react with electron-rich alkenes to yield a product with a terminal alkene (IV), which can be functionalized, and an unreactive alkoxy-substituted ruthenium metal carbene. A significant advantage to this strategy is that only living chains can acquire the functionality, resulting in a more homogeneous population of functionalized materials.

As shown in Figure 4, this strategy generates telechelic polymers, i.e., a polymer that contains one or more end groups with unique functionality. This approach has a distinct advantage over previous methods because the length of each polymer block can be controlled. Telechelic polymers can have one or more unique end groups and in this method these would be accessible. Referring to, Figure 4, monotelechelic polymers are those products in which either R or R' includes functionality, whereas bitelechelic polymers are those products in which both R and R' include functionality. For example, monofunctional polymers can be the result of using a functionalized capping agent or a functionalized catalyst, as described in greater detail below. In turn, the bitelechelic polymers can be created when both a functionalized catalyst and a functionalized capping agent are used. In addition to the biological examples disclosed, telechelic polymers are often used in the synthesis of crosslinked plastics. Enhancement of desired properties, such as thermal stability, may result from the ability to generate defined, homogeneous materials.

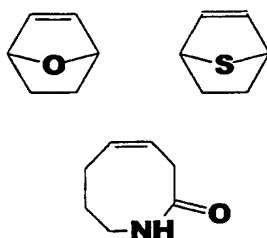
The methods of this invention for terminal functionalization of polymers involves either conventional ROMP methodology or the improved methodology in which the polymer template is synthesized carrying latent reactive groups which can be selectively functionalized after polymer synthesis (as described above).

In the latter (improved) method, a monomer is used that includes in its structure at least one polymerizable group and at least one latent reactive group for subsequent attachment of a pendant functional group (i.e., subsequent functionalization). Thus, suitable latent reactive groups are those that are unreactive during the initial ROMP reaction. Examples of monomer latent reactive groups include activated leaving groups such as an activated ester or protected functional groups such as a protected amine. As used herein, a "protected" group is one in which the intrinsic reactivity of the group is masked temporarily (i.e., the "mask" can be removed). The resultant polymer acts as a template to which one or more functional groups can be appended using one or more functionalizing reagents that react

with the latent reactive groups derived from the monomers (herein referred to as monomer latent reactive groups). These functional groups may have biological function, for example, they may provide a recognition element (i.e., binding site) for a biological entity, such as a cell surface receptor. Alternatively, they may be generally unreactive (e.g., nonbinding to a cell surface receptor). Thus, the resultant polymers may be bioactive or biocompatible.

Suitable monomers for use in the methods of the present invention, have at least one polymerizable group (and often only one polymerizable group). Monomers can carry a pendant functional group or have no pendant functional group. The functional group can be a nonreactive group or a latent functional group as discussed above. In a specific embodiment, monomers that carry at least one latent reactive group (used for later functionalization) can be used to make a polymer template, as described above.

Suitable monomers are those that are stable to the ROMP polymerization conditions. Preferably, suitable monomers are those that can be polymerized through ROMP under standard conditions. More preferably, the monomers include substituted cyclic (e.g., monocyclic, bicyclic, tricyclic, or higher order cyclics) mono-olefins. Examples include, but are not limited to, strained olefins such as norbornene, cyclobutene, and less strained olefins such as cyclooctene. Such substituted cyclic mono-olefins can also include heteroatoms and functional groups within the ring, including, for example, thioethers (RSR' or R<sub>2</sub>S), ethers (ROR' or R<sub>2</sub>O), amines (*primary* RNH<sub>2</sub>; *secondary* RR'NH or R<sub>2</sub>NH; *tertiary* RR'R''N or R<sub>2</sub>R'N or R<sub>3</sub>N), amides (i.e. RCONHR'), and esters (RCO<sub>2</sub>R'). Examples of such olefins include oxanorbornene, 7-thia-bicyclo[2.2.1]hept-2-ene, and 3,6,7,8-tetrahydro-1H-azocin-2-one, the structures of which are as follows:



Additional examples of suitable monomers for ROMP methods are disclosed, for example, in various documents cited in the Background Section, as well as in U.S. Pat. Nos. 5,831,108, 5,342,909, 5,710,298, 5,312,940, 5,750,815, 5,880,231, 5,849,851, 4,883,851, and

5,587,442 and in Wu et al. *Macromolecules*, 26, 4975-4977 (1993); Hillmyer et al. *Macromolecules*, 25, 3345-3350 (1992); Maughon et al. *Macromolecules*, 30, 3459-3469 (1997); Maynard et al. *Macromolecules*, 32, 6917-6924 (1999); Hillmyer et al. *Macromolecules*, 28, 6311-6316 (1995); Maughon et al. *Macromolecules*, 29, 5765-5769 (1996). Figure 3 provides additional specific examples of several useful monomers.

Monomers optionally contain pendant groups that can be functional groups, non-reactive groups or latent reactive groups. The latent reactive groups on the monomers that are used for selective functionalization after polymerization can include electrophilic or nucleophilic groups. Analogously, the compounds from which these later added functional groups are derived (i.e., the functionalizing reagents) can include electrophilic or nucleophilic groups. These two sets of groups may be the same or different, although for any two reactants (monomer and functionalizing reagent) the latent reactive groups are matched to allow for reaction and attachment of the pendant functional group to the polymer template. The functionalizing reagents can include a wide variety of molecules that confer useful properties to the resultant polymer (e.g., biological activity), such as a carbohydrate or a peptide, for example. Thus, the pendant functional groups may provide a recognition element (i.e., binding site) for a biological entity, such as a cell surface receptor. Alternatively, they may be generally unreactive (e.g., nonbinding to a cell surface receptor). The polymer may include combinations of such groups. For example, a polymer can include alternating blocks of a recognition element and an unreactive element.

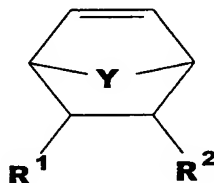
Examples of electrophilic latent reactive groups include, but are not limited to, acyl sulfonamides ( $\text{RCONHSO}_2\text{R}'$ ), acyl azides ( $\text{RCON}_3$ ), epoxides ( $\text{RR}'\text{COCR}''\text{R}'''$ ), anhydrides ( $\text{RCO}_2\text{COR}'$ ), esters ( $\text{RCO}_2\text{R}'$ ; including activated esters such as pentafluorophenyl esters and N-hydroxysuccinimidyl esters), carboxylic acids ( $\text{RCO}_2\text{H}$ ; including activated acids such as acyl halides  $\text{RCOX}$  wherein  $\text{X} = \text{Br}, \text{I}, \text{F}, \text{Cl}$ ), halides ( $\text{F}, \text{Br}, \text{Cl}, \text{I}$ ), boronic acids and esters ( $\text{RB}(\text{OH})_2$ ;  $\text{RB}(\text{OH})(\text{OR}'')$ ;  $\text{RB}(\text{OR}')_2$ ), ketones ( $\text{RCOR}'$ ), aldehydes ( $\text{RCHO}$ ), phosphoric acid esters (mono-, di-, and triesters, such as  $\text{PO}(\text{OR})(\text{OH})_2$ ;  $\text{PO}(\text{OR})_2(\text{OH})$ ;  $\text{PO}(\text{OR})_3$ ), phosphites ( $\text{POR}_3$ ), acyl nitriles ( $\text{RCOCN}$ ), alkenes ( $\text{RR}'\text{CCR}''\text{R}'''$ ), alkynes ( $\text{RCCR}'$ ), and the like. Examples of nucleophilic latent reactive groups include, but are not limited to, amines (*primary*  $\text{RNH}_2$ ; *secondary*  $\text{RR}''\text{NH}$  or  $\text{R}_2\text{NH}$ ; *tertiary*  $\text{RR}'\text{R}''\text{N}$  or  $\text{R}_2\text{R}'\text{N}$  or  $\text{R}_3\text{N}$ ), azides ( $\text{RN}_3$ ), hydroxyls ( $\text{ROH}$ ), thiols ( $\text{RSH}$ ), sulfones



(R<sub>2</sub>SO<sub>2</sub> or RSO<sub>2</sub>R'), acyl hydrazides (RCONHNH<sub>2</sub>), phosphites (POR<sub>3</sub>), hydrazines (RHNNH<sub>2</sub>), oximes (RHCNOH), isocyanates (RNCO), hydroxamic acids (RCONHOH), thiocyanates (RSCN), and the like. The stereochemistry of these groups may be defined or racemic. If desired these groups may be protected with groups such as carbamate (RNHCO<sub>2</sub>R'), carbonate (ROCO<sub>2</sub>R'), thioethers (RSR' or R<sub>2</sub>S), disulfides (RSSR' or RSSR), nitro groups (RNO<sub>2</sub>), and the like.

Suitable monomers may also include one or more appended groups that are not used for functionalization (i.e., nonreactive under the conditions of functionalization). Such groups include hydroxyls (ROH), alkyls, aryls, halides (F, Br, Cl, I), amides (RCONHR'), thiols (RSH), and the like. The stereochemistry of these groups may be defined or racemic. Although some of these groups are the same as the latent reactive groups, they are not as reactive under the conditions chosen for attachment of the pendant functional group and are referred to herein as stable functional groups. Thus, stable is used in this context as a relative term to refer to groups that are unreactive under the chosen conditions.

An example of a class of suitable monomers based on the norbornene ring structure has the following general structure:



Formula I

wherein Y is CH<sub>2</sub>, O, S, or N-R<sup>3</sup> (wherein R<sup>3</sup> is H or an organic group), R<sup>1</sup> and R<sup>2</sup> may be H or an organic group, and R<sup>1</sup> and R<sup>2</sup> together may form an alicyclic or aromatic ring. R<sup>1</sup>, R<sup>2</sup> or both may contain a functional group or a latent reactive group. In a preferred embodiment, at least one of R<sup>1</sup> or R<sup>2</sup> includes a latent reactive group as defined above, such as an activated ester. A specific example is bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxylic acid *N*-hydroxysuccinimide ester (Compound 1, Figure 4).

The monomers can be prepared using standard organic synthetic techniques known to one of skill in the art. For example, the monomer bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxylic

acid can be synthesized according to the procedure of Ver Nooy et al., *J. Am. Chem. Soc.*, 77, 3583-3586 (1955).

One or more prefunctionalized monomers can be employed to synthesize a polymer that is terminally functionalized by methods described herein. One or more monomers carrying different latent reactive groups can be used to synthesize a polymer template that can be terminally functionalized by methods described herein. One or more monomers carrying latent reactive groups may be used alone or in combination with one or more prefunctionalized monomers (i.e., those having pendant groups that do not require further functionalization) to form a polymer template that is terminally functionalized by methods described herein.

Thus, the monomers that can be polymerized to form polymers that are subsequently functionalized at their termini according to the methods of the present invention can include a variety of functionality such as: (1) monomer latent reactive groups that can be functionalized to include pendant functional groups after polymerization; (2) non-reactive functionality that does not require further functionalization to produce the desired pendant functional groups (which can be simple or complex); or (3) no pendant functional groups (as in norbornene). Various combinations of such monomers can be used in the methods of the present invention to provide block or random copolymers.

In either ROMP reaction (conventional or the improved reaction described herein), varying the ratio of monomer to ROMP catalyst (i.e., initiator) results in varying the length of the resultant polymer. The polymer (or polymer template) is preferably prepared by polymerizing one or more monomers using a metal carbene catalyst (i.e., a compound containing a metal carbene ( $M=CR^4R^5$ ) bond that catalyzes metathesis reactions, wherein the  $R^4$  and  $R^5$  groups are each independently H or an organic group (which may include functionality, such as the latent reactive groups or nonreactive functional groups described below), and "M" represents a metal (preferably, ruthenium or osmium) bonded to one or more ligands in a ligand sphere). Specific examples of suitable catalysts include, but are not limited to, Grubb's ruthenium metal carbene catalyst (Compound 14, Figure 12) and the compounds shown in Figure 3 and disclosed in Kingsbury et al., *J. Amer. Chem. Soc.*, 121, 791-799 (1999); Schwab et al., *J. Amer. Chem. Soc.*, 118, 100-110 (1996); Dias et al., *Organometallics*, 17, 2758-2767 (1998); del Rio et al., *Tetrahedron Lett.*, 40, 1401-1404

(1999); Furstner et al., *Chem. Commun.*, 95-96 (1999); Huang, J. et al. *Organometallics*, 18, 5375-5380 (1999); Weskamp et al., *Angew. Chem., Int. Ed. Engl.*, 37, 2490-2493 (1998); Westkamp, T. et al. *J. Organometal. Chem.*, 582, 362-365 (1999); Robson, D.A. et al. *Macromolecules*, 32, 6371-6373 (1999); Scholl et al., *Organic Letters*, 1, 953-956 (1999);  
5 and Scholl et al., *Tetrahedron Lett.*, 40, 2247-2250 (1999). Others include those disclosed in, for example, U.S. Pat. Nos. 5,831,108 (Grubbs et al.), 5,342,909 (Grubbs et al.), 5,710,298 (Grubbs et al.), 5,312,940 (Grubbs et al.), 5,750,815 (Grubbs et al.), 5,880,231 (Grubbs et al.), 5,849,851 (Grubbs et al.), and 4,883,851 (Grubbs et al.).

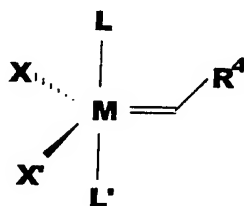
A preferred group of catalysts include those that react with electron rich alkenes (as  
10 discussed in greater detail below), and preferably have at least one latent reactive group (referred to herein as a catalyst latent reactive group) and/or at least one desired nonreactive functional group. Nonreactive functional groups include, for example, natural products or analogs thereof, metal chelators, metals, fluorescent probes, solid supports, and metal surfaces.

15 Latent reactive groups on the catalyst are analogous to the latent reactive groups on preferred monomers in that these reactive groups do not interfere with the ROMP reaction, but allow for subsequent functionalization.

The catalyst latent reactive groups that are used for functionalization include electrophilic or nucleophilic groups. Examples of electrophilic latent reactive groups include,  
20 but are not limited to, acyl sulfonamides, acyl azides, epoxides, anhydrides, esters (including activated esters such as pentafluorophenyl esters and N-hydroxysuccinimidyl esters), carboxylic acids (including activated acids such as acyl halides), halides, boronic acids, ketones, aldehydes, phosphoric acid esters (mono-, di-, and tri-esters), phosphites, acyl nitriles, alkenes, and alkynes, and the like. Examples of nucleophilic latent reactive groups  
25 include, but are not limited to, amines, azides, hydroxyls, thiols, sulfones, acyl hydrazides, phosphites, hydrazines, oximes, isocyanates, thiocyanates, and the like. The stereochemistry of these groups may be defined or racemic. If desired these groups may be protected with groups such as carbamates, carbonates, thioethers, disulfides, nitro groups, and the like. Preferably, in metal carbene catalysts of the formula  $M=CR^4R^5$ , wherein M represents a metal  
30 in a ligand sphere,  $R^4$  is an organic group that includes a latent reactive group, such as an azide, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated

ester, an activated acid, a hydrazine, or a hydrazone, and  $R^5$  is H or an organic group, preferably, H.

Particularly preferred catalysts have the following general formula:



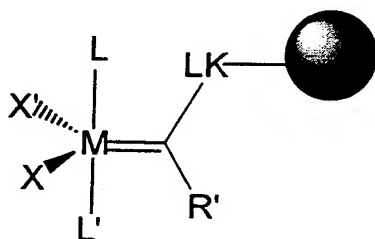
Formula II

5 wherein M is Ru or Os, X and X' are independently an anionic ligand (preferably, halides, alkoxides, thiolates, amides, phosphides, and acyls) and X and X' together may represent a bidentate ligand, and L and L' are independently neutral ligands (preferably, arenes, ketones, alkynes, carbenes, carbonyls, imides, phosphines, arsines, amines, imines, and nitriles) and L and L' together may represent a bidentate ligand, and  $R^4$  is an organic group that includes a latent reactive group. Preferably,  $R^4$  is an organic group that includes an azide, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, an activated acid, a hydrazine, or a hydrazone. Alternatively,  $R^4$  is an organic group that includes a nonreactive functional group selected from natural products or analogs thereof, metal chelators, metals, fluorescent probes, solid supports, and metal surfaces. In cases in which  $R^4$  includes a solid support or a metal surface, the  $R^4$  group will typically, include a linker group LK which provides for a selected spacing from the solid or metal surface, as well as provides functionality that forms a bond (covalent or noncovalent) to the solid or metal surface.

LK is a linking group that is an organic group having functionality that allows attachment to a solid or a metal surface. LK may directly attach to surface groups on the solid. In some cases the solid may be functionalized and the LK group then bonds to the functional groups that have been added to the solid. The LK group can contain additional repeated units that are aliphatic or aromatic to provide for spacing from the surface. LK can, for example, have the formula:  $-(M)_m-LK_1-(N)_p-LK_2$ , where M and N are the same or different organic groups; m and p are integers ranging from 0 to about 20 and  $LK_1$  and  $LK_2$  are functional groups. M and N can, for example, be aliphatic groups or aromatic groups or

combinations thereof, which are optionally substituted, preferably with non-reactive groups. (M)<sub>m</sub> or (N)<sub>p</sub> can be aromatic groups, e.g., phenyl rings, linked by aliphatic groups, e.g., olefins or alkynes. LK<sub>1</sub> can be a functional group that simply links the -M- and -N- chains or it can be a latent reactive group, as described above, that can be reacted to introduce different functionality or that can be cleaved to result in cleavage of the LK group from the solid. LK<sub>2</sub> contains functionality that allows linkage (covalent or noncovalent) to the solid. LK<sub>2</sub> can, for example, contain a thiol group, an activated ester group or an amine group. LK<sub>1</sub> can be any of a variety of functional groups, including among others, -O-, -S-, -CO-, -COO-, CO-NR'- (where R' is hydrogen or an organic group, e.g., an alkyl group). In addition, non-neighboring CH<sub>2</sub> groups in M and N can be replaced with -O- or -S-. LK<sub>1</sub> can also contain functionality in which one or more bonds can be broken, either chemically, enzymatically or photochemically, to cleave the LK group. Cleavable LK<sub>1</sub> include, among others, esters, and amides.

An exemplary metal carbene ROMP catalyst which is attached to a solid support or a metal surface has the formula:



Formula III

where M, X, X', L, L' and LK are as defined above in Formula II, R' is hydrogen or an organic group and is preferably hydrogen and LK is an optional linker group. The catalyst can be directly linked to a solid support as illustrated in Barrett et al *Organic Letters*, 1, 1083-1086 (1999).

The catalysts can be used to incorporate functionality at a terminus of the polymer to allow, for example, for coupling of two polymers together, coupling of the polymer to a solid support, or modification of the polymer with small molecules, fluorescent probes, proteins, metals, metal chelators, etc. Thus, catalysts useful in the methods of the present invention can include a variety of functionality (in at least one of R<sup>4</sup> or R<sup>5</sup> in the catalyst M=CR<sup>4</sup>R<sup>5</sup>)

such as: (1) catalyst latent reactive groups that can be functionalized to include terminal functional groups after polymerization; (2) nonreactive functionality that does not require further functionalization to produce the desired terminal functional groups; or (3) no functional groups. Various combinations of such catalysts can be used in the methods of the present invention.

The initial polymerization is preferably carried out in a solvent or mixture of solvents, typically one or more organic solvents, in which the monomer and catalyst are mutually soluble, although in certain embodiments, no solvent is required. Suitable solvents include substituted and unsubstituted aliphatic and aromatic hydrocarbon solvents such as chlorinated hydrocarbons, ethers, protic hydrocarbons, etc., which are unreactive under the reaction conditions. Examples include 1,2-dichloroethane, benzene, toluene, p-xylene, methylene chloride, dichlorobenzene, tetrahydrofuran, diethylether, pentane, water, methanol, etc.

The conditions of the polymerization reaction (e.g., temperature, time, atmosphere) will vary depending on the choice of monomer and catalyst, and can be selected by one of ordinary skill in the art without undue experimentation. Preferably, the ROMP reaction is carried out at a temperature of about 20°C to about 30°C (i.e., room temperature) or higher under an inert atmosphere (e.g., nitrogen or argon), although temperatures ranging from about -20°C to about 125°C are possible. Pressure is not critical, but may be varied to maintain a liquid phase reaction mixture. Reaction times can vary from several minutes to several days.

Typically, in ROMP reactions, the polymer is terminated by reacting the catalyst with a capping agent. This capping agent is typically matched to the catalyst. For ruthenium catalysts, for example, ethyl vinyl ether has been used. Although such a reagent could be used in the present invention, preferably, an electron rich alkene is used to incorporate terminal functionality in the polymer. As used herein, an electron rich alkene is one that has greater electron density than that of ethylene. In conventional capping methods, the capping agent is a vinyl ether, typically ethyl vinyl ether, that yields a material with a terminal alkene and a deactivated alpha-oxygen-substituted ruthenium metal carbene (Hillmeyer et al., *Macromolecules*, 28, 6311-6316 (1995)).

In contrast, the capping agent of the present invention, preferably a bifunctional capping agent, incorporates an electron donating group, and preferably either a latent reactive group for subsequent functionalization (e.g., to incorporate functionality at a terminus of the

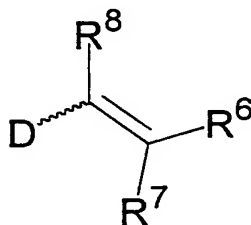
polymer to allow for coupling of two polymers together; coupling to a solid support, or metal surface; modification with small molecules, such as, fluorescent probes, metals, metal chelators, etc.); modification with natural products (e.g., typically large biological molecules) including, peptides, proteins, carbohydrates, nucleic acids, etc. or a nonreactive functional group that does not require further functionalization (i.e., it is the functionality that is desired to be incorporated into the polymer at a terminus, such as reporter groups to facilitate detection such as fluorescent groups, chemiluminescent groups, enzymes, antibodies, biotin, radioactive groups, etc.). Thus, in a similar manner to that of the catalyst, capping agents useful in the methods of the present invention can include a variety of functionality (in at least one of  $R^6$  or  $R^7$  in the capping agent  $D-C=CR^6R^7$ ) such as: (1) capping agent latent reactive groups that can be functionalized to include terminal functional groups after polymerization; (2) nonreactive functionality that does not require further functionalization to produce the desired terminal functional groups; or (3) no functional groups (as in ethyl vinyl ether). Various combinations of such capping agents can be used in the methods of the present invention.

Significantly, the catalysts and capping agents of the present invention are of general utility for controlling the structure of the termini of living metal (particularly, osmium- or ruthenium-)initiated ROMP reactions. Selective incorporation of single end groups into polymers will facilitate the creation of bifunctional polymers that can be appended to other oligomers, selectively immobilized, used for detection, used for quantitative binding studies, or to investigate polymer structure. The resulting materials can be conjugated to any of a number of reporter molecules, including a variety of fluorescent compounds, biotin, antibodies, enzymes, lipids, and solid supports. The functional group tolerance of the metal carbene initiator, the flexibility in catalyst selection, the generality of the post-synthetic functionalization protocol, and the versatility of the capping strategy expands significantly the scope of useful materials that can be generated by ROMP.

The spacing of functional groups in a polymer can be controlled in part by appending polymers with different functional groups to each other via reaction of selected termini. A composite polymer composed of two or more polymers can be synthesized by appropriate incorporation of end groups in the component polymers which are then bonded together

through reaction of the incorporated end groups. Composite polymers having a selected distribution or spacing of one or more functional groups can be synthesized in this way.

Typically, the capping agent has the following general structure:

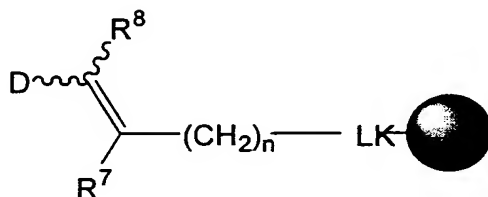


Formula IV

wherein D is an electron donating group (i.e., one that causes an overall increase in olefin electron density when compared to ethylene. D, which can include  $\text{SR}^9$ ,  $\text{OR}^9$ , or a halogen, where  $\text{R}^9$  is a hydrogen or an organic group, and preferably is an alkyl group.  $\text{R}^6$ ,  $\text{R}^7$  and  $\text{R}^8$  are, independently, hydrogen or an organic group, and at least one of these groups preferably includes a latent reactive group or a nonreactive functional group that does not require further functionalization.  $\text{R}^8$  is preferably hydrogen. Although both  $\text{R}^6$  and  $\text{R}^7$  can include functionality, preferably, only one does, and more preferably, the other is H. In one preferred embodiment,  $\text{R}^6$  can include a latent reactive group selected from an azide, a nitro group, a disulfide, a hydrazine, a hydrazide, a hydroxylamine, an aldehyde, a ketone, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, or an activated acid. Alternatively, in another preferred embodiment  $\text{R}^6$  can be a nonreactive functional group that is selected from natural products or analogs thereof (e.g., biotin), metal chelators (such as nitrilotriacetic acid), metals (such as Zn), fluorescent probes (such as an amide derived from BODIPY FL EDA which is 4,4-difluoro-5,7-dimethyl-4-bora-3a,4a-diaza-s-indacene-3-propionyl ethylenediamine), solid supports (such as polyethylene resins), and metal surfaces (such as gold surfaces used for surface plasmon resonance (SPR)). Examples of capping agents containing reactive functional groups are illustrated in Figure 7A and examples of capping agents containing nonreactive functional groups are illustrated in Figure 7B.



In a specific embodiment, the capping agent provides for a covalent or noncovalent attachment to a solid. Exemplary capping agents for attachment to a solid include those of formula:



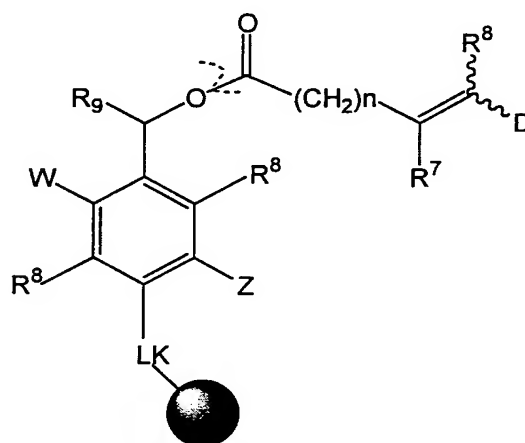
Formula V

- 5 where D is an electron donating group as defined above;  $R^8$  and  $R^7$  are hydrogens or an organic group and preferably both hydrogens; n is an integer ranging from 1 to about 20; and LK is a linking group that is an organic group having functionality that allows attachment to a solid. LK may directly attach to surface groups on the solid. In some cases the solid may be functionalized and the LK group then bonds to the functional groups that have been added to
- 10 the solid. The LK group can contain additional repeated units that are aliphatic or aromatic to provide for spacing from the surface. LK can, for example, have the formula:  $-(M)_m-LK_1-(N)_p-LK_2$ , where M and N are the same or different organic groups; m and p are integers ranging from 0 to about 20 and  $LK_1$  and  $LK_2$  are functional groups. M and N can, for example, be aliphatic groups or aromatic groups or combinations thereof, which are
- 15 optionally substituted, preferably with non-reactive groups.  $(M)_m$  or  $(N)_p$  can be aromatic groups, e.g., phenyl rings, linked by aliphatic groups, e.g., olefins or alkynes.  $LK_1$  can be a functional group that simply links the -M- and -N- chains or it can be a latent reactive group, as described above, that can be reacted to introduce different functionality or that can be cleaved to result in cleavage of the LK group from the solid.  $LK_2$  contains functionality that
- 20 allows linkage (covalent or noncovalent) to the solid.  $LK_2$  can, for example, contain a thiol group, an activated ester group or an amine group.  $LK_1$  can be any of a variety of functional groups, including among others, -O-, -S-, -CO-, -COO-, CO-NR' - (where R' is hydrogen or an organic group, e.g., an alkyl group). In addition, non-neighboring  $CH_2$  groups in M and N can be replaced with -O- or -S-.  $LK_1$  can also contain functionality in which one or more

bonds can be broken, either chemically, enzymatically or photochemically, to cleave the LK group. Cleavable LK<sub>1</sub> include, among others, esters, and amides.

In a specific embodiment, the capping reagent is a cleavable linker to a solid surface or support. The cleavable linker capping agent is an organic group having (1) an electron rich olefin for reaction to cap the polymer; (2) functionality that is covalently or non-covalently linked to (or a latent reactive group that can be covalently or non-covalently linked to) the solid and (3) intermediate between (1) and (2) latent functionality that can be chemical, enzymatically or photochemically cleaved. Exposure of the capped polymer to appropriate chemical, enzymatic or photochemical conditions allows selective cleavage of the polymer from the solid. For example, the cleavable linker capping agent can be reacted with the polymer to attach the polymer to a solid surface. The solid can then be washed to remove non-specifically attached materials, e.g. to purify the polymer. Thereafter, the purified polymer can be treated chemically, enzymatically or photochemically to cleave the linkage to the solid support and release polymers from the solid.

A specific preferred photochemically cleavable capping agent has the formula:



Formula VI

where D is defined above; R<sup>8</sup>, independent of other R<sup>8</sup> in the capping agent, is hydrogen or an organic group; R<sup>7</sup> is also a hydrogen or an organic groups; n is an integer ranging from 1 to about 20, R<sup>9</sup> can be H or an organic group; W is an electron withdrawing group and Z is an electron donating group and LK<sub>2</sub> is a linker group for attachment to the solid support (shown as a sphere in the formula). The bond that can be photochemically cleaved is indicated by a

dashed line in the formula. One or more non-neighboring CH<sub>2</sub> groups in the (CH<sub>2</sub>)<sub>n</sub> chain can be replaced with an -O- (to provide ethers) or an -S- (to provide thioethers). R<sup>8</sup> is preferably H. R<sup>9</sup> is preferably a small alkyl group (i.e., having 1 to about 6 carbon atoms) and is more preferably a methyl group. W is an electron withdrawing group which can among  
5 others be NO<sub>2</sub>, CN, CF<sub>3</sub>, or a halogen. Z is an electron donating group which can, among others, be R, OR or SR, where R is an alkyl group, NR'<sub>2</sub> where R' is hydrogen or an alkyl group.

Figure 8A schematically illustrates the use of a capping agent with a cleavable linker group. A capping agent of formula VI in which the cleavable linker is bonded to a resin via  
10 PEG (polyethylene glycol) is reacted with a polymer template (containing exemplary latent reactive groups (activating groups) to attach the polymer to the resin (solid support). The Solid-supported polymer is then functionalized, by reaction with an exemplary nucleophile, to generate a solid-supported functionalized polymer. Attachment to the solid support can facilitate functionalization and purification of the polymer. The functionalized polymer can  
15 be cleaved from the solid support by irradiation using an appropriate wavelength of light. Photochemical cleavage of a variety of organic groups, including the cleavage of esters as illustrated in Figure 8A, is a well-known process. Those of ordinary skill in the art can readily employ or adapt well-known photochemical methods for use with cleavable linkers. As noted above, linkers may also be cleaved using chemical or enzymatic reactions. Again a  
20 variety of well-known chemical or enzymatic reaction can be used or readily adapted for use with cleavable linkers of this invention.

Cleavable capping agents can be synthesized by methods well-known in the art using readily available starting materials. For example, the synthesis of the capping agent of Figure 8A is illustrated in Figure 8B and details of the synthesis are provided in the Examples.

25 Certain preferred capping agents include both latent or nonreactive functional groups and ethylene glycol groups. Typically, these both form a part of one or R<sup>6</sup> or R<sup>7</sup>. A particularly preferred example of the capping agent includes an alkyl vinyl ether linked to a protected carboxylic acid derivative via an ethylene glycol chain. Because of its design, this linker minimizes nonspecific interactions with proteins or hydrophobic molecules.

30 The methods of the present invention involve standard coupling techniques between capping agents and polymer chains. These coupling techniques will depend on the capping

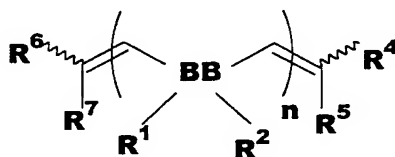
agents selected and may involve solution or solid state reaction conditions. Such techniques and conditions could be readily determined by one of skill in the art, and are similar, if not the same as, the conditions of polymerization.

Alternative to a capping agent, the polymer template can be terminally functionalized by oxidizing the catalyst, with oxygen or other oxidizing reagents, and forming an aldehyde at the terminus of the backbone of the polymer template. For example, the polymer template can simply be exposed to air or placed under an oxygen atmosphere at room temperature and pressure.

The functionalizing reagents (i.e., the compound from which the terminal functional group is derived if the catalyst and/or capping agent include a latent reactive group, or if the polymer includes a terminal aldehyde group) can include a wide variety of molecules that confer useful properties to the resultant polymer (e.g., fluorescence), as discussed above for the R<sup>6</sup> group.

The methods of the present invention involve standard coupling techniques between functionalizing reagents and polymer (or polymer templates). These coupling techniques will depend on the latent reactive groups selected and may involve solution or solid state reaction conditions depending on the solubility of the polymer template. Such techniques and conditions can be readily determined by one of ordinary skill in the art.

The resultant polymers have the following general formula:



Formula VII

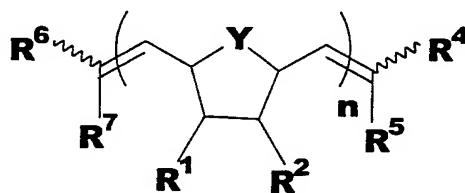
wherein "BB" represents the backbone repeat unit, which may be cyclic or acyclic, and may be the same or different in a random or block arrangement, R<sup>1</sup> and R<sup>2</sup> are each, independently of one another and of other R<sup>1</sup> and R<sup>2</sup> in different repeating units, hydrogen or an organic group containing a desired pendant functionality, R<sup>4</sup> and R<sup>5</sup> are each independently hydrogen or an organic group derived from the metal carbene catalyst, and R<sup>6</sup> and R<sup>7</sup> are each independently hydrogen or an organic group derived from the capping agent, and n is the

average number of repeating monomer units, which can be varied by controlling the monomer to catalyst ratio.  $R^1$  and  $R^2$  may be the same or different as  $R^1$  and  $R^2$ , respectively, in the same or different types of repeating units. At least one of  $R^4$ ,  $R^5$ ,  $R^6$ , or  $R^7$  includes a latent reactive group or a nonreactive functional group, i.e. a terminal functional group.

Typically,  $n$  is at least 2 and no more than about 10,000, but there is practically no limit. Polymers of this invention include those in which  $n$  is less than or equal to about 50, those in which  $n$  ranges from about 50 to about 200, those in which  $n$  ranges from about 100 to about 1,000. As discussed above, ROMP can provide polymers of varying average lengths (i.e., varying degree of polymerization, DP) depending on the monomer to ROMP catalyst (i.e., initiator) ratios. The length of all polymers described herein are referred to as the length predicted by the monomer to initiator ratio used in the polymerization reaction.

Preferably, at least one of  $R^1$  and  $R^2$  includes a protected amine or an activated ester (i.e., one that reacts under mild conditions without necessitating coupling agents, such as HATU (O-(7-azabenzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate)).

Examples of polymer templates having different backbones are illustrated in Figure 9. A preferred example of the polymer template based on the norbornene template has the following general structure:

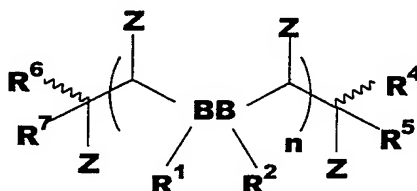


Formula VIII

wherein  $Y$ ,  $R^1$ ,  $R^2$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ , and  $n$  are as defined above. Preferably, at least one of  $R^1$  and  $R^2$  includes a protected amine or an activated ester. A preferred polymer template is shown in Figure 2 as Compound 3. Preferably, at least one of  $R^4$ ,  $R^5$ ,  $R^6$ , and  $R^7$  includes

functionality derived from a functionalized capping agent and/or a functionalized metal carbene catalyst.

Another preferred example of the polymer template has the following general structure:



Formula IX

wherein BB,  $R^1$ ,  $R^2$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ , and  $n$  are as defined above, and each Z is independently H, OH, SH, X (a halide such as F, Br, I, Cl), or  $N(R^8)_2$  (wherein each  $R^8$  is independently H or an organic group). At least one of  $R^4$ ,  $R^5$ ,  $R^6$ , or  $R^7$  includes a latent reactive group or a nonreactive functional group.

In the definitions of “R” groups as used herein, the term “organic group” means a hydrocarbon group (with optional elements other than carbon and hydrogen, such as oxygen, nitrogen, sulfur, phosphorus, germanium, tin, boron, and silicon, which can be in the form of various functional groups) that is classified as an aliphatic group, cyclic group, or combination of aliphatic and cyclic groups (e.g., alkaryl and aralkyl groups). In the context of the present invention, the organic groups are those that do not interfere with the formation of the polymer template or resultant polymer, unless they include the requisite reactive groups. The term “aliphatic group” means a saturated or unsaturated linear or branched hydrocarbon group. This term is used to encompass alkyl, alkenyl, and alkynyl groups, for example. The term “alkyl group” means a saturated linear or branched hydrocarbon group including, for example, methyl, ethyl, isopropyl, t-butyl, heptyl, dodecyl, octadecyl, amyl, 2-ethylhexyl, and the like. The term “alkenyl group” means an unsaturated, linear or branched hydrocarbon group with one or more carbon-carbon double bonds, such as a vinyl group. The term “alkynyl group” means an unsaturated, linear or branched hydrocarbon group with one or more carbon-carbon triple bonds. The term “cyclic group” means a closed ring hydrocarbon

group that is classified as an alicyclic group, aromatic group, or heterocyclic group (which can be aromatic or aliphatic). The term "alicyclic group" means a cyclic hydrocarbon group having properties resembling those of aliphatic groups. The term "aromatic group" or "aryl group" means a mono- or polynuclear aromatic hydrocarbon group. The term "heterocyclic group" means a closed ring hydrocarbon in which one or more of the atoms in the ring is an element other than carbon (e.g., nitrogen, oxygen, sulfur, etc.).

Substitution is anticipated on the organic groups of the complexes of the present invention. As a means of simplifying the discussion and recitation of certain terminology used throughout this application, the terms "group" and "moiety" are used to differentiate between chemical species that allow for substitution or that may be substituted and those that do not allow or may not be so substituted. Thus, when the term "group" is used to describe a chemical substituent, the described chemical material includes the unsubstituted group and that group with O, N, Si, or S atoms, for example, in the chain (as in an alkoxy group) as well as carbonyl groups or other conventional substitution. Where the term "moiety" is used to describe a chemical compound or substituent, only an unsubstituted chemical material is intended to be included. For example, the phrase "alkyl group" is intended to include not only pure open chain saturated hydrocarbon alkyl substituents, such as methyl, ethyl, propyl, t-butyl, and the like, but also alkyl substituents bearing further substituents known in the art, such as hydroxy, alkoxy, alkylsulfonyl, halogen atoms, cyano, nitro, amino, carboxyl, etc. Thus, "alkyl group" includes ether groups, haloalkyls, nitroalkyls, carboxyalkyls, hydroxyalkyls, sulfoalkyls, etc. On the other hand, the phrase "alkyl moiety" is limited to the inclusion of only pure open chain saturated hydrocarbon alkyl substituents, such as methyl, ethyl, propyl, t-butyl, and the like.

For the structures illustrated herein, for each R group that can include an organic group, which can be of a significantly large size, for example, on the order of 10,000 carbon atoms, the following applies. Preferably, the organic groups of  $R^1$  and  $R^2$  are each independently a  $C_1$ - $C_{5000}$  organic group, more preferably,  $C_1$ - $C_{2500}$  organic group, even more preferably  $C_1$ - $C_{1000}$  organic group, and most preferably,  $C_1$ - $C_{100}$  organic group, encompassing peptides, proteins, carbohydrates, metal chelators, natural products, etc. Preferably, the organic groups of  $R^4$ ,  $R^5$ ,  $R^6$ , and  $R^7$  are each independently a  $C_1$ - $C_{10,000}$  organic group, more preferably,  $C_1$ - $C_{6000}$  organic group, even more preferably  $C_1$ - $C_{1000}$  organic group, and most

preferably, C<sub>1</sub>-C<sub>500</sub> organic group, encompassing antibodies, nucleic acids, peptides, proteins, carbohydrates, metal chelators, fluorescent tags, enzymes, solid supports, etc. Preferably, the organic group of R<sup>3</sup>, R<sup>8</sup>, and R<sup>9</sup> are each independently a C<sub>1</sub>-C<sub>20</sub> organic group, more preferably, C<sub>1</sub>-C<sub>10</sub> alkyl group, and most preferably C<sub>1</sub>-C<sub>3</sub> alkyl moiety.

5           The methods of this invention can be employed to generate libraries of multivalent arrays including libraries in which the multivalent arrays have formulas VII, VIII and IX. In a given library, the component arrays (library members) span a selected range of structural variation. For example, in a given library all of the member arrays could be synthesized to have approximately the same length and carry different pendant or terminal functional  
10       groups. In another example, a library could be constructed with members having selected variation in length with the same pendant or terminal functional groups. In yet another example, library members could be designed to span a range of functional group densities and or functional groups distributions. Functional group density is most generally the number of functional groups/polymer, but may represent different distributions of functional groups,  
15       e.g., randomly distributed throughout the polymer, in blocks where a defined number of adjacent monomers are substituted with the same functional group, with functional groups spaced at a selected distances from one another, or with different relative positioning and spacing of different functional groups. The methods of this invention allow control of all of polymer length, terminal functionality and functional group type, density and distribution.

20           Libraries are composed of a plurality of multivalent arrays having different structures that are defined (i.e. non random) in at least one structural feature. For example, a library may contain members with defined functional group density (functional groups/monomers), but in which the functional groups are randomly distributed throughout the polymers. A library is typically composed of 10 or more structurally distinguishable multivalent arrays.  
25       Preferred libraries are composed of about 50-about 200 structurally distinguishable multivalent arrays.

Libraries of this invention are useful for screening, selecting and identifying multivalent arrays having a desired functionality, which may be a biological functionality.

30           The invention also provides kits for synthesis of multivalent arrays. A kit can include polymer templates, with or without functionalizing reagents, but preferably with instructions for attachment of the pendant functional groups, and optionally, the reagents needed for the



attachment. The instructions will depend on the latent reactive groups present on the polymer templates. The kits can also include capping agents for functionalizing a terminus of a polymer chain.

To demonstrate the utility of the post-synthetic modification strategy of the method of the present invention, a series of NHS-substituted materials differing in average length (degree of polymerization, DP) using three monomer to initiator ratios (10:1, 25:1, and 50:1) were prepared (Reaction Path A, Figure 2). All polymerization reactions proceeded efficiently, consuming all of the monomer. The mannose epitopes were appended by treatment of the activated oligomer backbones with amine to afford an oligomer series. Analogous materials were generated by the conventional method under emulsion polymerization conditions employing the same monomer to ROMP catalyst ratios (Reaction Path B, Figure 2). No variations in the macroscopic physical properties of the oligomers prepared by the two methods were detected, and no differences were observable by <sup>1</sup>H NMR spectroscopy. These results indicate the PSM procedure is efficient. The relative lengths of the materials generated by each method were assessed using gel permeation chromatography (GPC). The carbohydrate polymers 6 and 7 (Figure 2) were acetylated to convert them into organic soluble derivatives, which can be more easily evaluated by GPC. Analyses of the materials suggested that the polymers generated under emulsion conditions are slightly shorter than those produced by post-polymerization modification (Figure 10). Each method, however, provides a linear correlation between polymer length and monomer to initiator (M:I) ratios, an indication that the polymerization reactions are living. Thus, the PSM protocol according to the present invention can be used to prepare multivalent assemblies varying in length. The GPC data also suggests that the shortest polymers made by each method are within about 3 units length of one another, while the longest polymers are within about 12 units. The discrepancy in the lengths of the emulsion and PSM polymers highlights the differences in physical properties of the monomers that give rise to variations in the polymerization reaction. The new PSM procedure of the present invention is important because a wide range of different recognition elements can be attached to a single scaffold to give rise to materials with identical backbones. Such substances will facilitate the determination of structure/function relationships.

The method of the present invention was further investigated by comparing the biological activity of oligomers derived from the new process to those made by the conventional approach. The mannose-substituted polymers were designed to interact with the well-studied lectin Concanavalin A (Con A) (Goldstein, et al., Carbohydrate Specificity of Concanavalin A; Bittiger, H. and Schnebli, H. P., Ed.; John Wiley & Sons, Ltd.: London, 1976; Coll., pp 55-65). Con A is a homotetramer at pH 7 that can facilitate the agglutination of red blood cells via simultaneous interactions with mannose residues on the surfaces of different cells. The ability of soluble carbohydrate ligands to inhibit cell agglutination can be measured. The efficacies of ROMP-derived oligomers in a Con A inhibition assay depend on their lengths (Kanai et al., *J. Am. Chem. Soc.*, 119, 9931-9932 (1997); and Mann et al., *J. Am. Chem. Soc.*, 120, 10575-10582 (1998)). Hemagglutination assays (Osawa et al., *Methods Enzymol.*, 28, 323-327 (1972)), therefore, provide a convenient format to assess the activities of materials generated from the two distinct preparation methods.

The Con A inhibitory potencies of different materials generated by the conventional and PSM protocols were compared on a saccharide residue basis using monovalent  $\alpha$ -methyl mannopyranoside as a standard. Within a single series, either polymers 6 or 7, the most potent oligomers were those produced using a 50:1 monomer to initiator ratio (Figure 11). This result is consistent with previous studies, which revealed that the most potent inhibitors are those that can span two saccharide binding sites on Con A (Kanai et al., *J. Am. Chem. Soc.*, 119, 9931-9932 (1997); and Mann et al., *J. Am. Chem. Soc.*, 120, 10575-10582 (1998)). At each M:I ratio, the PSM oligomers were slightly more active than those prepared under emulsion conditions. For example, a 400-fold increase over  $\alpha$ -methyl mannopyranoside was seen for the polymer derived from the 50:1 monomer-to-initiator ratio in the emulsion polymerization, but an enhancement of 550-fold was found for the related material made under post-polymerization modification conditions. The magnitude of effects seen with the previously studied norbornene imide mannose polymers was greater than those seen here. The present results are similar to those seen for the reduced norbornene imide mannose polymers. This may be due to a higher entropic cost in the orientation of the current backbone, which is less rigid than the bicyclic norbornene imide template. Because longer oligomers are more active inhibitors, this finding is consistent with the GPC data that indicates the average length of the PSM oligomer exceeds that of the material generated under the emulsion

polymerization conditions. Overall, these data indicate that the PSM protocol can be used to synthesize biologically active materials with potencies that match or surpass those resulting from substances generated by standard ROMP approaches.

To test the strategy for introducing terminal functionality, the bifunctional capping agent **18** (Figure 12) was designed to incorporate a masked carboxylic acid onto the end of living polymer chains. The target molecule was comprised of an enol ether linked to a protected carboxylic acid via an ethylene glycol linker. The  $\beta$ -trimethylsilyl (TMS) ethyl carboxylic acid protecting group serves two purposes. First, the distinct signal of the TMS group in the  $^1\text{H}$  NMR spectrum provides an estimate of the capping efficiency; and second, the  $\beta$ -TMS ethyl group can be removed under conditions that do not affect the sulfated carbohydrate recognition epitopes employed in this study. Moreover, carboxylic acids can be activated for further functionalization easily, selectively, and with high efficiency. The target capping agent **18** could be readily assembled from triethylene glycol in six steps.

The ability of enol ether **18** to terminate ROMP reactions was evaluated in reactions of three monomers with different properties. To ascertain the reactivity of **18** under standard conditions, non-polar monomer **11** was subjected to ROMP, and an excess of compound **18** was introduced to terminate the reaction (Figure 12). From  $^1\text{H}$  NMR data, comparison of the integration of the phenyl protons incorporated from the catalyst with that of the protons from the TMS group revealed that approximately 80% of the resulting polymer chains were capped to afford material **19**. Initial attempts to end-label polymers bearing highly polar substituents revealed that the capping reaction was less effective for these substrates. Specifically, when the emulsion conditions required for oligomerization of polar compound **13** were used, reaction termination with enol ether **18** resulted in **21a**, which was produced with a useful but more modest capping efficiency (30%) (Figure 12). To minimize complications arising from phase transfer processes, an alternative strategy to generate polar, functionalized polymer **21b** was used. Polymers containing *N*-hydroxysuccinimide esters, such as **20**, can be assembled in organic solvents using ROMP. Subsequent post-polymerization modification by treatment of the resulting materials with a nucleophile generates a new substituted polymer. As with products from reaction of methyl ester **11**, polymer **20** obtained from reaction of **13** can be terminated with capping agent **18** in efficiencies of approximately 80%. Polymer **20** could then be coupled to an amine-containing saccharide moiety to afford the 3,6-disulfogalactose

derivative **21b**. After purification of material **21b**, the NMR spectroscopic data polymers **21a** and **21b** were virtually indistinguishable except for differences in the intensities of the signals arising from the capping agent. It is believed that the single phase, homogenous reaction conditions result in higher capping yields than do the emulsion polymerization conditions because the solubilities of the starting materials and products strongly influence the relative rates of various steps in polymer assembly and termination.

The importance of multivalent recognition events in biology and the utility of multivalent arrays in elucidating the features of such processes have accelerated the development of synthetic methods to generate multidentate ligands equipped with reporter groups. For example, acrylamide copolymerization can incorporate saccharide recognition elements and reporter groups, and this strategy has been used to develop materials for assaying protein-carbohydrate interactions. Alternatively, polymers possessing reporter groups have been generated by coupling a desired functional tag to a preformed polymer backbone, either using a single equivalent of the tag or by attaching a reporter group to each monomer prior to coupling to the multivalent scaffold. None of the reported synthetic routes allow for control over the length of the polymer chain or the number of reporter groups incorporated in the multivalent array.

In addition to adding an electron rich alkene, the polymer chain can be capped with a functional group via oxidation of the terminal metal carbene. For example, exposure of polymers in which the active metal carbene center is present at the end of the growing chain to oxygen results in a terminal aldehyde on the polymer chain. This strategy was explored in parallel to the method just described with enol ether **11**. The sulfated galactose monomer **23** was subjected to the ROMP catalyst **14** and following consumption of the monomer, the reaction was opened to air to yield polymer **25** (Figure 8). This approach relies on efficient capping with oxygen and subsequent hydrazone, hydrazide, or hydroxyl amine formation. This method uses fewer steps to obtain the final product; however, the capping efficiency is more difficult to monitor than in the case of polymers **19**, **20** and **21a** and **21b**.

To demonstrate the utility of the synthetic scheme of the present invention for selective incorporation of a single reporter, end-labeled neoglycopolymers were coupled to a fluorescent reporter group through the end-label. These fluorescent neoglycopolymers were designed to allow studies of the interactions of the polymers with cell surface L-selectin.

L-selectin, a member of the selectin family of cell adhesion molecules, facilitates the recruitment of white blood cells to sites of tissue damage. Certain sulfated, saccharide-containing neoglycopolymers related to **21a** are potent inhibitors of selectin function. It is believed that these neoglycopolymers inhibit protein function by binding to L-selectin on the cell surface. By transforming **21a** and **25** into reporter ligands **22** and **27** respectively, neoglycopolymer binding to cell surface L-selectin could be directly investigated.

The initial step in the generation of **22** involved unmasking the carboxylic acid by saponification of the  $\beta$ -trimethylsilyl ethyl ester (Figure 12). A fluorescein derivative (5-((5-aminopentyl)thioureidyl) fluorescein or fluorescein cadaverine) was attached through amide bond formation. The resulting conjugate was isolated by size exclusion and cation exchange chromatography to afford fluorescein-modified oligomer **22**. Polymer **25** could be directly subjected to 5-(((2-(carbohydrazino)methyl)thio)acetyl)aminofluorescein), a hydrazine fluorescein derivative, **26**, to yield the desired fluorescent tagged polymer **27** (Figure 13).

The ability of polymers **22** and **27** to bind Jurkat cells (a human acute T cell leukemia line) displaying L-selectin was examined using fluorescence microscopy (Figure 12). For comparison, cells were labeled with a fluorescein-conjugated antibody to L-selectin. Both the antibody and the polymer bound cells at localized sites, producing similar, punctate fluorescence patterns. The observed patterns are consistent with observations that L-selectin is not randomly distributed on the leukocyte surface but is localized to specific regions of the cell termed microvilli. The binding was dependent on the presence of cell surface L-selectin, as neither the fluorescent polymer nor anti-L-selectin antibody was observed to bind to L-selectin deficient cells (an HL60 cell line, data not shown). Similar results were seen using the aldehyde capped polymer **27** (Figure 14).

These results suggest that neoglycopolymers bind specifically to L-selectin on the cell surface. One would expect general cell surface staining if ligand **22** was binding nonspecifically. Moreover, further microscopy studies suggest that the significant biological activities of these glycoprotein mimics are mediated through multivalent contacts. This data highlights the utility of probes **22** and **27** for visualizing cell surface recognition events.

Objects and advantages of this invention are further illustrated by the following examples, but the particular materials and amounts thereof recited in these examples, as well as other conditions and details, should not be construed to unduly limit this invention.

## EXAMPLES

*Materials:* Bis(tricyclohexylphosphine)benzylidene ruthenium(IV)dichloride was obtained from Strem Chemicals, Inc. (Newburyport, MA). 5-((5-aminopentyl)thioureidyl) fluorescein (fluorescein cadaverine) was purchased from Molecular Probes (Eugene, OR).  
5 Cell culture media RPMI 1640 and fetal calf serum were from Gibco BRL (Gaithersburg, MD). Penicillin, streptomycin, L-glutamine, and sodium pyruvate were from Sigma (St. Louis, MO). Fluorescein-labeled anti L-selectin antibody (DREG-56) was purchased from Pharmingen (San Diego, CA). VectaShield was from Vector Laboratories (Burlingame, CA). All other reagents were purchased from Aldrich Chemical Co., Milwaukee, WI, unless  
10 otherwise specified. All solvents were purchased either from Aldrich Chemical Co., or Fisher Scientific, Pittsburgh, PA.

*General Methods:* All nonaqueous reactions were carried out in oven-dried glassware under a nitrogen atmosphere. Reaction solvents were distilled from sodium/benzophenone (tetrahydrofuran), calcium hydride (dichloromethane, triethylamine, dichloroethane), or under  
15 reduced pressure over type 4Å molecular sieves (DMSO). ACS grade 1,2-dichloroethane (DCE) was used as received from Aldrich Chemical Co., Milwaukee, WI. Solvents used in polymerization reactions were deoxygenated with a minimum of three freeze-pump-thaw cycles prior to use. Distilled, deionized (dd or MQ) water and 500 MWCO dialysis tubing (Fisher Scientific, Pittsburgh, PA) were used for the polymer purification. Chromatography  
20 solvents were ACS grade; dichloromethane, acetone and hexanes were distilled. Dodecyltrimethylammonium bromide (DTAB) was recrystallized from acetone. Reactions were monitored by thin-layer chromatography (TLC) on 0.25 mm precoated Merck Silica Gel 60 F<sub>254</sub> (VWR Scientific, So. Plainfield, NJ), visualizing with ultra violet light, *p*-anisaldehyde stain (15 ml *p*-anisaldehyde, 10 ml acetic acid, 10 ml sulfuric acid, 350 ml  
25 ethanol), or potassium permanganate stain (3 grams KMnO<sub>4</sub>, 20 grams K<sub>2</sub>CO<sub>3</sub>, 5 ml of 5% aqueous NaOH, 200 ml water). Flash column chromatography was performed on Merck Silica Gel 60 (230-400 mesh, Scientific Adsorbents Inc., Atlanta, GA) using distilled reagent grade hexanes and dichloromethane and ACS grade ethyl acetate, methanol, and chloroform. When handling acid-sensitive compounds, chloroform and dichloromethane were neutralized  
30 by filtration through basic alumina immediately prior to use. Infrared spectra were recorded on a Mattson FTIR spectrometer. Mass spectral data were obtained by Liquid Secondary Ion

Mass Spectrometry (LSIMS) on a Micromass Autospec Mass Spectrometer (3-nitrobenzoic acid with added sodium iodide (3-NBA + NaI) matrix).  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were recorded on a Bruker AC-300 spectrometer and are referenced to residual solvent peaks ( $\text{CDCl}_3$ :  $^1\text{H}$ :  $\delta$  7.24,  $^{13}\text{C}$ :  $\delta$  77.0;  $\text{D}_2\text{O}$ :  $^1\text{H}$ :  $\delta$  4.67) or to an internal reference of tetramethylsilane in  $\text{CDCl}_3$  ( $^1\text{H}$ :  $\delta$  0.00). NMR solvents were obtained from Cambridge Isotope Laboratories, Inc., Andover, MA.  $^1\text{H}$ - $^1\text{H}$  couplings are assumed to be first order, and peak multiplicity is reported as s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet), or b (broad).

Preparation of Bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxylic acid *N*-hydroxysuccinimide ester, Compound 1 in Figure 2: Norbornene acid (151.8 mg, 1.1 mmol, prepared according to the method of Ver Nooy et al., *J. Am. Chem. Soc.*, 77, 3583-3586 (1955)), *N*-hydroxysuccinimide (172.5 mg, 1.49 mmol, obtained from Aldrich), and EDCI (1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride, 278.1 mg, 1.45 mmol, obtained from Aldrich) were stirred in  $\text{CH}_2\text{Cl}_2$  (3.6 mL, obtained from Aldrich) overnight under nitrogen. The solvent was removed under reduced pressure and the residue was subjected to flash silica gel chromatography with  $\text{CH}_2\text{Cl}_2$  as the solvent according to the procedure of Still, *J. Org. Chem.*, 43, 2923 (1978). A white solid was isolated (186.7 mg, 0.88 mmol). Yield 80%.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  6.19 (dd,  $J=5.7$ , 2.9 Hz, 1H), 6.17 (dd,  $J=5.7$ , 3.1 Hz, 1H), 3.25 (br s, 1H), 2.98 (br s, 1H), 2.82 (d,  $J=1.65$  Hz, 2H), 2.49 (ddd,  $J=10.48$ , 4.78, 1.65 Hz, 1H), 2.03 (ddd,  $J=1.95$ , 4.23, 4.2 Hz, 1H), 1.55-1.41 (m, 3H). EI  $m/z$  235.01847 [235.2395, calc'd for  $\text{C}_{12}\text{H}_{13}\text{NO}_4$ ].

Polymerization of bicyclo[2.2.1] hept-5-ene-*exo*-2-carboxylic acid *N*-hydroxysuccinimide ester, Compound 3 in Figure 2 ( $n = 10$ ): The *N*-hydroxy ester (98.3 mg, 0.425 mmol) 1 was dissolved in 1,2-dichloroethane (DCE) (2.1 mL). To this was added a solution of  $[(\text{Cy})_3\text{P}]_2\text{Cl}_2\text{Ru}=\text{CHPh}$  (Strem, Newburyport, NH) in deoxygenated DCE (35 mg in 2.1 mL). The reaction was stirred under nitrogen at room temperature for forty-five minutes. The reaction appeared complete by TLC, and an excess of ethyl vinyl ether was added for capping. The reaction mixture was filtered through a small plug of silica gel using  $\text{CH}_2\text{Cl}_2$  as eluent. The solvent was removed under reduced pressure to afford a brown solid (96.8 mg) that was used without further purification. Yield 98%.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.3 (m), 5.7-5.2 (m), 3.5-0.90 (br m).

Preparation of aminoethyl- $\alpha$ -D-mannopyranoside, Compound 4, Figure 2: The azidoethyl mannoside was prepared according to the procedure of Chernyak et al., *Carbohydr. Res.*, 223, 303-309 (1992) with minor modifications. Azidoethanol was substituted for allyl alcohol and glycosylation conditions were used as described by Lee et al., *Carbohydr. Res.*, 37, 193-201 (1974). The azidoethyl mannoside was reduced with Pearlmann's catalyst (Aldrich) in a 1:1 mixture of methanol:water (a modification of a procedure mentioned above) to give 4.

Preparation of aminoethyl- $\alpha$ -D-mannopyranosyl bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxamide, Compound 5, Figure 2: The mannose monomer 5 was prepared via the pentafluorophenyl ester and Compound 4 by a procedure previously described in Manning et al., *Tetrahedron*, 53, 11937-11952 (1997).  $^1\text{H}$  NMR (300 MHz,  $\text{D}_2\text{O}$ ):  $\delta$  6.19 (dd,  $J=5.7, 2.9$  Hz, 1H), 4.694 (d,  $J=1.65$  Hz, 1H), 3.76 (dd,  $J=2.94, 1.83$ , 1H), 3.70 (dt,  $J=12.32, 1.9$  Hz, 1H), 3.64-3.41 (m, 6H), 3.29 (br m, 1H), 2.76 (br m, 1H), 2.03 (m, 1H), 1.57 (m, 1H), 1.35-1.17 (m, 3H). EI  $m/z$  343.1627 [343.377, calc'd for  $\text{C}_{16}\text{H}_{25}\text{NO}_7$ ].

Coupling to product of the polymerization of bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxylic acid *N*-hydroxysuccinimide ester, Compound 6 in Figure 2 ( $n = 10$ ): Aminoethyl mannoside 4 (16.0 mg, 0.0788 mmol), *N*-methylmorpholine (7.7  $\mu\text{L}$ , 0.0702 mmol, Aldrich) and polymer 3 ( $n = 10$ , 15.2 mg, 0.0647 mmol) in 0.35 mL dimethyl formamide (DMF) were stirred for 24 hours. Diisopropylcarbodiimide (DIC, 11  $\mu\text{L}$ , 0.0638 mmol, Aldrich) was added and stirring continued overnight. The DMF was removed under reduced pressure, and the resulting solid was washed three times with 1-2 mL of  $\text{CH}_2\text{Cl}_2$  and three times with 1-2 mL of ethanol. The solid was dried, and (trimethylsilyl)diazomethane ( $\text{TMSCHN}_2$ , 35  $\mu\text{L}$ , 0.0702 mmol, Aldrich) and methanol (350  $\mu\text{L}$ ) were added and the reaction stirred overnight. The reaction was quenched upon addition of water, and the solvent was removed under reduced pressure. The solid was dissolved in MQ water and placed in dialysis tubing. The sample was dialyzed (48 hours, four water changes, 1 L each time) to remove impurities from the coupling reaction and unreacted 4. The solution was filtered through a 0.25 micron filter and the solvent was removed under reduced pressure to give a tan solid (15.4 mg, 71%).  $^1\text{H}$  NMR (300 MHz,  $\text{D}_2\text{O}$ ):  $\delta$  7.3 (br m, 0.278 H), 5.5-4.9 (br, 2 H), 4.0-3.0 (br m, 14 H), 2.5-2.15 (br m, 2 H), 1.9-1.4 (br, 2 H), 1.1-0.9 (br, 2H).



Polymerization of aminoethyl  $\alpha$ -D-mannopyranosyl bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxamide, Compound 7 in Figure 2 ( $n = 10$ ): The mannose monomer 5 (19.6 mg, 0.0571 mmol) and DTAB (dodecyltrimethylammonium bromide, 29 mg, 0.0933 mmol, Aldrich) were dissolved in water (182  $\mu$ L) and degassed. DCE (181  $\mu$ L) was added to the ruthenium catalyst 2 (6.1 mg) and this solution (91  $\mu$ L corresponding to 4.7 mg, 0.00571 mmol of 2) was added to the solution of 5. The reaction was stirred at room temperature for thirty minutes and then was heated to 60°C for 4 hours. Once the reaction was complete by TLC, an excess of ethyl vinyl ether was added to quench the active carbene. The reaction mixture was evaporated under reduced pressure, and the solid was washed with dichloromethane and ethanol. The polymer was dissolved in MQ water and dialyzed against 1 L of water for 2 days, changing the water every 12 hours. The solution was removed from the dialysis tubing and filtered through a 0.25 micron filter which after removal of the solvent under reduced pressure gave a tan solid (18.2 mg). Yield 90%.  $^1\text{H}$  NMR (300 MHz,  $\text{D}_2\text{O}$ ):  $\delta$  7.3 (br m, 0.238 H), 5.5-4.9 (br, 2 H), 4.0-3.0 (br m, 14 H), 2.5-2.15 (br m, 2 H), 1.9-1.4 (br, 2 H), 1.1-0.9 (br, 1 H).

Synthesis of the capping agent on solid support (Figure 8B-D).

4-pentenoic acid (1.0 mL, 9.8 mmol, 1 eq),  $\text{K}_2\text{CO}_3$  (6.78 g, 49 mmol, 5 eq), benzyl bromide (1.4 mL, 11.8 mmol, 1.2 eq), and tetrabutylammonium iodide (0.254 g, 0.686 mmol, 0.07 eq) were combined in approximately 50 mL of dry acetone. The reaction was stirred under nitrogen for 3 hours. TLC (9:1 hexanes/ethyl acetate) showed no more starting material. The reaction was filtered and the solvent was removed under reduced pressure. The residue was dissolved in ethyl acetate and washed sequentially with 1M HCl, saturated  $\text{NaHCO}_3$ , and brine. The organic layer was dried with  $\text{MgSO}_4$  and concentrated under reduced pressure. Flash chromatography, using a solvent of 30:1 hexanes/ethyl acetate (until benzyl bromide eluted) to 1:1 hexanes/ethyl acetate, was used to isolate the product. (1.8 g, 9.5 mmol, 97% yield).

Benzyl 4-pentenoate (1.86 g, 9.8 mmol, 1 eq) was added to approximately 50 mL  $\text{CH}_2\text{Cl}_2$  and cooled to -78°C. Nitrogen was bubbled through the solution for about 20 minutes. Then ozone was bubbled through until the solution turned pale blue, indicating excess ozone. Nitrogen was bubbled through the solution for 5 minutes, and then triphenyl

phosphine (6 g, 22.5 mmol, 2.3 eq) was added to the flask. The mixture was stirred for approximately 30 minutes. The cold bath was removed and the cloudy solution became clear as the reaction warmed to room temperature. TLC (2:1 hexanes/ethyl acetate) indicated no starting material. A gradient column (hexanes until triphenyl phosphine eluted, and then a  
5 gradient of 9:1 hexanes/ethyl acetate to 6:1 hexanes/ethyl acetate) was used to isolate the product (1.7 g, 9.1 mmol, 93% yield).

$\text{Ph}_3\text{PCH}_2\text{OMeCl}$  (6.24 g, 18.2 mmol, 2 eq) was azeotroped with toluene to remove water. Anhydrous ether was added to a flask with  $\text{Ph}_3\text{PCH}_2\text{OMeCl}$ , and the solution was cooled in an ice bath. 95% potassium t-butoxide (1.94 g, 16.4 mmol, 1.8 eq) was added, and  
10 the solution was stirred for 5 minutes while maintaining a red color. A solution of  $\beta$ -Formyl-propionsaeure-benzylester (1.7 g, 9.1 mmol, 1 eq) in ether was added dropwise over about 5 minutes. The solution turned orange, and the reaction was done by TLC (9:1 hexanes/ethyl acetate) after 30 minutes of stirring in an ice bath. Brine was added and the reaction was stirred for another 5 minutes at room temperature. The phases were separated and the aqueous  
15 layer was extracted three times with ether. The ether layers were combined, dried with  $\text{MgSO}_4$ , and concentrated under reduced pressure. Flash chromatography using a gradient of 30:1 hexanes/ethyl acetate to 20:1 hexanes/ethyl acetate yielded the product. (0.65 g, 2.9 mmol, 32% yield).

Benzyl 5-methoxy-4-pentenoate (70 mg, 0.318 mmol, 1 eq) was dissolved in THF. A  
20 0.5 mM solution of aqueous KOH (0.76 mL, 0.382 mmol, 1.2 eq) was added and the reaction was stirred for 1 hour. TLC (9:1 hexanes/ethyl acetate) indicated no starting material. The product potassium salt was obtained (27.9 mg, 0.214 mmol, 67% yield). The salt was dissolved in methanol, and Amberlyst 15 strongly acidic resin was added to neutralize the solution. The reaction was filtered and the methanol was concentrated under reduced pressure  
25 to yield the desired product. (24.7 mg, 0.19 mmol, 89% yield).

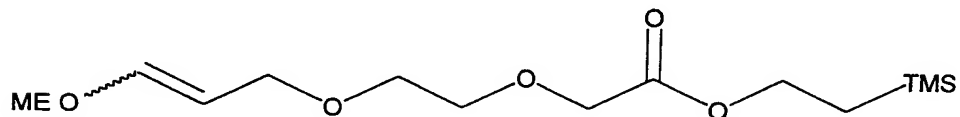
Amberlyst 15 strongly acidic resin was prepared by washing with each of the following solvents twice in the following order: methanol, water, 1 M NaOH, water, ethanol, 6 M HCl, water, ethanol, methanol.

Hydroxyethyl-Photoliker NovaSyn TG resin (0.26 mmol/g resin loading, 30 mg,  
30 0.0078 mmol, 1 eq), 5-methoxy-4-pentenoic acid (1.8 mg, 0.0135 mmol, 1.7 eq), diisopropylcarbodiimide (2.5  $\mu\text{L}$ , 0.0162 mmol, 2.1 eq), and DMAP (0.5 mg, 0.0045 mmol,

0.6 eq) were mixed in 0.5 mL amine-free DMF. The reaction was protected from light and stirred for 4 days. A spot test for free hydroxyl groups was used to determine conjugation efficiency (Kuisle, O.; Lolo, M.; Quinoa, E.; Riguera, R. *Tetrahedron*, 55, 14807-14812.(1999)). Resin (approximately 1 mg) was removed from the reaction by pipet and placed on a TLC plate. A 0.03 M p-TsCl solution in toluene (2 drops) and a 0.075 M 4-*p*-nitrobenzylpyridine solution in toluene (2 drops) was added. The plate was heated until the orange color completely disappeared. A 10% piperidine solution in CHCl<sub>3</sub> (2 drops) was added and the plate was dried. There was no development of violet or pink color, indicating the reaction was complete. The resin was washed with methylene chloride and ethanol several times and recovered (27 mg).

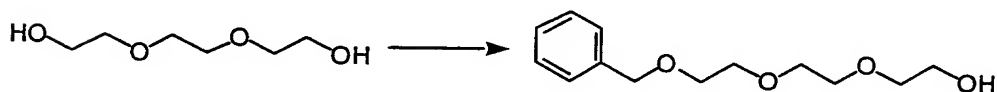
Hemagglutination Assay: This assay was performed as previously described in Kanai et al, *J. Am. Chem. Soc.*, 119, 9931-9932 (1997) and references therein. The concentrations of the polymer samples used in the assay were determined by <sup>1</sup>H NMR integration of the peak at 5.25 ppm with an external sample of NaOAc of known concentration.

#### Synthesis of Bifunctional Capping Agent 18



18

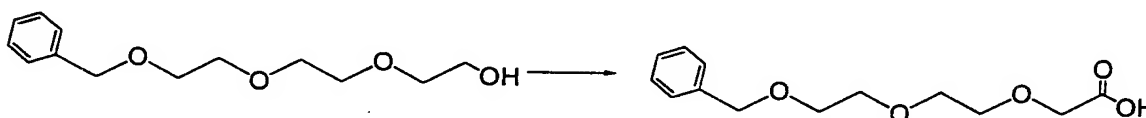
#### 2-(2-(2-benzyloxy)ethoxy)ethoxy)ethanol



Benzyl bromide (7.9 mL, 66.6 mmol) was added to a solution of triethylene glycol (8.9 mL, 66.6 mmol) in 50% aqueous NaOH (5.3 mL), and the mixture was stirred at room temperature for 24 hours. The reaction was diluted with H<sub>2</sub>O (75 mL) and extracted with Et<sub>2</sub>O (4 x 100 mL). The combined organic extracts were dried over Na<sub>2</sub>SO<sub>4</sub>,

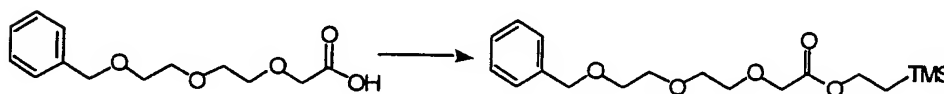
concentrated, and purified by flash column chromatography (silica, EtOAc), affording 2-(2-(2-benzyloxy)ethoxy)ethoxy)ethanol (6.14 g, 38%).  $R_f = 0.6$  (EtOAc); IR (neat): 3500-3400, 2900-2700, 1751, 1633, 1613, 1453, 1349, 1246, 1100, 1069  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.35-7.26 (m, 5H), 4.57 (s, 2H), 3.73-3.59 (m, 12H), 2.50 (b, 1H);  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ ):  $\delta$  138.1, 128.4, 127.8, 127.7, 73.3, 72.6, 70.7, 70.6, 70.4, 69.4, 61.7.

*10-Phenyl-3,6,9-trioxadecanoic acid*



Chromium trioxide (3.33 g, 33.30 mmol) was added to 1.5 M  $\text{H}_2\text{SO}_4$  (4.4 mL) at  $0^\circ\text{C}$ . A solution of 2-(2-(2-benzyloxy)ethoxy)ethoxy)ethanol (2.00 grams, 8.32 mmol) in acetone (110 mL) was added, and the reaction was stirred for 5 hours at room temperature. The solution was extracted with  $\text{Et}_2\text{O}$  (5 x 100 mL) and the combined extracts were washed with saturated NaCl (3 x 50 mL) and concentrated to a volume of 20 mL. Extraction with 5%  $\text{NaHCO}_3$  (2 x 20 mL) was followed by acidification of the aqueous extracts to pH = 2 with concentrated HCl and back extraction of the aqueous solution with  $\text{Et}_2\text{O}$  (3 x 50 mL). The combined organic extracts were washed with saturated NaCl (3 x 20 mL). Concentration provided 10-phenyl-3,6,9-trioxadecanoic acid (1.71 g, 81%).  $R_f = 0.1-0.4$  (10% MeOH/ $\text{CH}_2\text{Cl}_2$ ); IR (neat): 3500, 3453, 2900-2700, 1751, 1739, 1629, 1614, 1453, 1353, 1245, 1204, 1120, 1100, 1026  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  10.06, (b, 1H), 7.35-7.25 (m, 5H), 4.57 (s, 2H), 4.17 (s, 2H), 3.77-3.60 (m, 8H);  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ ):  $\delta$  138.1, 128.4, 127.8, 127.7, 73.3, 72.6, 70.7, 70.6, 70.4, 69.4, 61.7.

*10-Phenyl-3,6,9-trioxadecanoic acid 2-(trimethylsilyl)ethyl ester*



10-Phenyl-3,6,9-trioxadecanoic acid (1.71 g, 6.71 mmol) was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (13.4 mL) and the solution was cooled to 0°C. 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (EDCI) (1.41 g, 7.38 mmol) and *N,N*-dimethylaminopyridine (DMAP) (41.0 mg, 0.34 mmol) were added, and the suspension was stirred for 10 minutes at 0 °C. 2-(Trimethylsilyl)ethanol (872.4 mg, 7.38 mmol) was added dropwise via syringe, and the solution was stirred for 20 minutes while warming to room temperature. The reaction was quenched with H<sub>2</sub>O and extracted with Et<sub>2</sub>O (3 x 50 mL). The combined Et<sub>2</sub>O extracts were washed sequentially with 10% HCl (1 x 50 mL), 5% NaHCO<sub>3</sub> (1 x 50 mL), and saturated NaCl (1 x 50 mL), and dried over Na<sub>2</sub>SO<sub>4</sub>. Concentration followed by flash chromatography (silica, 4:1 hexanes/EtOAc) afforded 10-phenyl-3,6,9-trioxadecanoic acid 2-(trimethylsilyl)ethyl ester (2.32 g, 97% yield). *R*<sub>f</sub> = 0.26 (4:1 hexanes/EtOAc); IR (neat): 3500-3400, 3000-2700, 1751, 1733, 1615, 1455, 1250, 1148, 1124 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): δ 7.32-7.23 (m, 5H), 4.54 (s, 2H), 4.24-4.18 (m, 2H), 4.10 (s, 2H), 3.72-3.59 (m, 8H), 1.01-0.95 (m, 2H), 0.01 (s, 9H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): δ 170.5, 138.2, 128.3, 127.6, 127.5, 73.2, 70.8, 70.6, 69.4, 68.8, 63.0, 17.3, -1.6; LRMS (LSIMS, 3-NBA): *m/z* 377.2 [M + Na<sup>+</sup>, calc'd for C<sub>18</sub>H<sub>30</sub>O<sub>5</sub>NaSi 377.5].

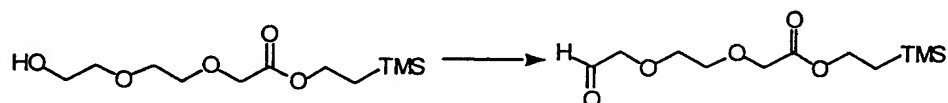
*3,6-Dioxa-8-hydroxy-octanoic acid 2-(trimethylsilyl)ethyl ester*



20% Pd(OH)<sub>2</sub>/C (100 mg, Aldrich) was added to a solution of 10-phenyl-3,6,9-trioxadecanoic acid 2-trimethylsilyl(ethyl) ester (500 mg, 1.4 mmol) in absolute EtOH (14 mL, AAPER Alcohol and Chemical Co., Shelbyville, KY). The solution was shaken under 50 psi H<sub>2</sub> for 6 hours, filtered through a pad of CELITE (EtOH eluent), and concentrated under reduced pressure to afford 3,6-dioxa-8-hydroxy-octanoic acid 2-trimethylsilyl(ethyl) ester (284.4 mg, 77%). *R*<sub>f</sub> = 0.29 (2:1 EtOAc/hexanes); IR (neat): 3500-3400, 2952, 2894, 2872, 1750, 1629, 1615, 1456, 1428, 1250, 1200, 1148, 1124, 1064 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): δ 4.26-4.19 (m, 2H), 4.11 (s, 2H), 3.75-3.59 (m, 8H), 2.65 (b, 1H), 1.02-0.95 (m,

2H), 0.02 (s, 9H);  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ ):  $\delta$  170.6, 72.4, 70.8, 70.2, 68.6, 63.2, 61.5, 17.3, -1.6; LRMS (LSIMS, 3-NBA):  $m/z$  287.1 [ $\text{M} + \text{Na}^+$ , calc'd for  $\text{C}_{11}\text{H}_{24}\text{O}_5\text{NaSi}$  287.4].

*3,6-Dioxa-8-al-octanoic acid 2-(trimethylsilyl)ethyl ester*



3,6-Dioxa-8-hydroxy-octanoic acid 2-trimethylsilyl(ethyl) ester (250 mg, 0.95 mmol) was dissolved in  $\text{CH}_2\text{Cl}_2$  (4.7 mL) and the solution was cooled to  $0^\circ\text{C}$ . Dimethyl sulfoxide (135  $\mu\text{L}$ , 1.9 mmol) was added via syringe, followed by the rapid addition of solid  $\text{P}_2\text{O}_5$ . After 30 minutes at  $0^\circ\text{C}$ ,  $\text{Et}_3\text{N}$  (460  $\mu\text{L}$ , 3.3 mmol) was added and the reaction was stirred for 30 min at  $0^\circ\text{C}$ . The reaction was quenched with 10% HCl (10 mL) and extracted with  $\text{CH}_2\text{Cl}_2$  (3 x 20 mL). The combined organic extracts were washed with  $\text{H}_2\text{O}$  (1 x 25 mL) and saturated NaCl (1 x 25 mL), and dried over  $\text{Na}_2\text{SO}_4$ . Purification by flash chromatography (silica, 1:1 hexanes/ $\text{EtOAc}$ ) afforded the product (216.0 mg, 82%).  $R_f$  = 0.29 (1:1 hexanes/ $\text{EtOAc}$ ); IR (neat): 3500-3400, 2957, 2922, 2854, 1749, 1734, 1646, 1456, 1260, 1098  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ):  $\delta$  9.71 (s, 1H), 4.25-4.19 (m, 2H), 4.16 (d,  $J$  = 0.7 Hz, 2H), 4.10 (s, 2H), 3.75 (s, 4H), 1.01-0.96 (m, 2H), 0.02 (s, 9H);  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ ):  $\delta$  200.6, 170.4, 76.8, 71.2, 71.0, 68.8, 63.2, 17.4, -1.5; LRMS (LSIMS, 3-NBA):  $m/z$  285.1 [ $\text{M} + \text{Na}^+$ , calc'd for  $\text{C}_{11}\text{H}_{22}\text{O}_5\text{NaSi}$  285.4].

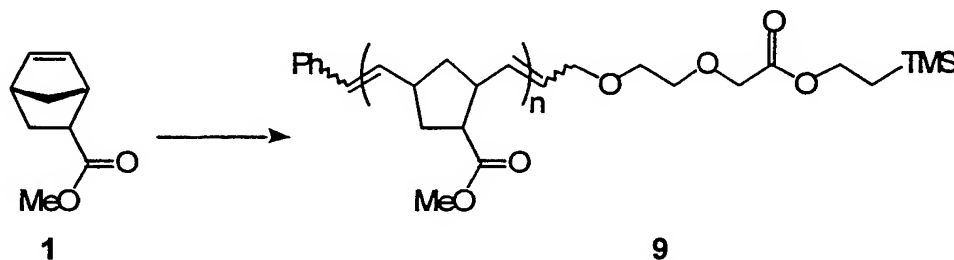
*3,6,10-Trioxa-8,9-ene-undecanoic acid 2-(trimethylsilyl)ethyl ester*



Potassium *tert*-butoxide (36.3 mg, 0.30 mmol) was added to a suspension of (methoxymethyl)triphenylphosphonium chloride (117.6 mg, 0.34 mmol) in THF (2.0 mL) at  $0^\circ\text{C}$ . The dark red solution was stirred at  $0^\circ\text{C}$  for 5 minutes. 3,6-dioxa-8-al-octanoic acid 2-trimethylsilyl(ethyl) ester (42.5 mg, 0.16 mmol) was added dropwise as a 1M solution in THF

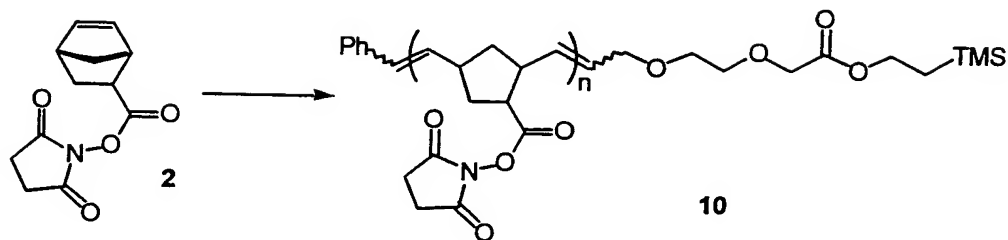
(160  $\mu$ L), during which the solution turned from dark red to pale yellow. The reaction was quenched with saturated NaCl (5 mL) and extracted with Et<sub>2</sub>O (3 x 15 mL). The combined Et<sub>2</sub>O extracts were washed with H<sub>2</sub>O (1 x 20 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Concentration followed by flash chromatography (silica, 9:2 hexanes/EtOAc) afforded 3,6,10-trioxa-8,9-ene-undecanoic acid 2-trimethylsilyl(ethyl) ester **18** (27.9 mg, 59%).  $R_f$  = 0.21 (5:1 hexanes/EtOAc); IR (neat): 2952, 2932, 2898, 2860, 1752, 1732, 1660, 1457, 1251, 1214, 1197, 1176, 1147, 1102, 859, 838  $\text{cm}^{-1}$ ; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  6.52 (d,  $J$  = 13.1 Hz, 1H), 6.00 (dt,  $J$  = 6.5, 1.1 Hz, 1H), 4.87 (dt,  $J$  = 12.5, 7.5 Hz, 1H), 4.53 (td,  $J$  = 7.0, 6.5 Hz, 1H), 4.24-4.17 (m, 4H), 4.10 (s, 2H), 4.09 (s, 2H), 4.07 (dd,  $J$  = 7.3, 1.2 Hz, 2H), 3.92 (dd,  $J$  = 7.4, 0.9 Hz, 2H), 3.71-3.54 (m, 8H), 3.58 (s, 3H), 3.53 (s, 3H), 1.01-0.94 (m, 4H), 0.01 (s, 18H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  170.6, 170.5, 151.5, 149.1, 102.7, 98.7, 70.9, 70.9, 69.0, 68.8, 68.7, 68.5, 63.8, 63.0, 59.8, 55.9, 17.4, -1.6; LRMS (LSIMS, 3-NBA):  $m/z$  313.2 [M + Na<sup>+</sup>, calc'd for C<sub>13</sub>H<sub>26</sub>O<sub>5</sub>NaSi 313.4].

#### Synthesis of Polymer 9



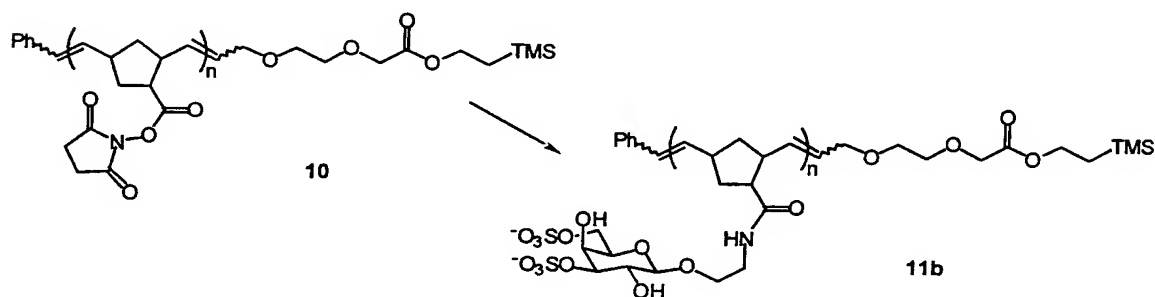
1,2-Dichloroethane (DCE) was deoxygenated by four freeze-pump-thaw (FPT) cycles. A solution of ruthenium catalyst **14** in DCE (100  $\mu$ L) was added to a solution of norbornene monomer **11** (15 mg, 0.10 mmol) in DCE (400  $\mu$ L). The red mixture was stirred for 30 minutes at room temperature. Capping agent **18** (30  $\mu$ L) was added neat, and the reaction was stirred at room temperature for 18 hours. The mixture was concentrated, dissolved in a small amount of CH<sub>2</sub>Cl<sub>2</sub> and filtered through a short pad of silica gel to remove excess capping agent **18**. The remaining material was eluted from the silica gel with EtOAc, and the solution was concentrated and dried. The clear, solid material was washed with hexanes (3x) and dried to afford polymer **19** (9.6 mg, 64%).

## Synthesis of Polymer 20



DCE was deoxygenated by four freeze-pump-thaw cycles. A solution of ruthenium catalyst 14 (3.3 mg, 0.004 mmol) in DCE (40  $\mu$ L) was added to a solution of norbornene monomer 12 (15 mg, 0.064 mmol) in DCE (280  $\mu$ L). The mixture was stirred for 30 minutes at room temperature. Capping agent 18 (13.5  $\mu$ L) was added neat, and the reaction was stirred at room temperature for 18 hours. The mixture was concentrated, dissolved in a small amount of  $\text{CH}_2\text{Cl}_2$ , and filtered through a short pad of silica gel to remove catalyst-derived impurities and excess capping agent. The solution was concentrated under reduced pressure and was used without purification in the coupling to 3,6-disulfo galactose amine.

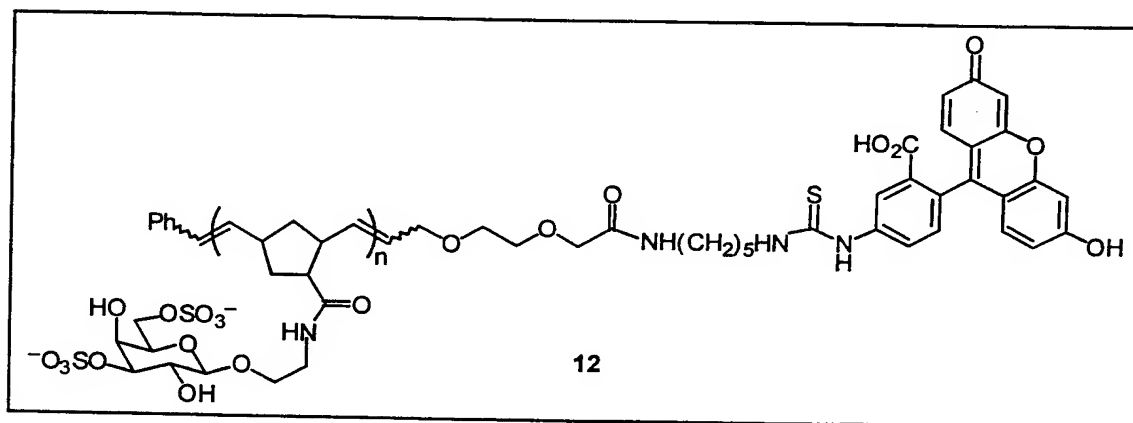
## Synthesis of Polymer 21b





Diisopropylcarbodiimide (DIC) (5  $\mu$ L, 0.032 mmol) was added to a solution of polymer **20** (7.5 mg) DMF (320  $\mu$ L). (2-aminoethyl)-3,6-*O*-disulfo- $\beta$ -D-galactopyranoside (16.7 mg, 0.048 mmol) was added as a 1 M solution in H<sub>2</sub>O (48  $\mu$ L), and Et<sub>3</sub>N (8.9  $\mu$ L, 0.064 mmol) was added. The reaction was stirred at room temperature for approximately 40 hours and then diluted with H<sub>2</sub>O (approximately 1 mL). The aqueous solution was extracted with CHCl<sub>3</sub> (3 x 2 mL) and concentrated under reduced pressure. The residue was washed with MeOH (3 x 2 mL), affording neoglycopolymer **21b**.

#### Synthesis of Fluorescein-Labeled Neoglycopolymer **22**



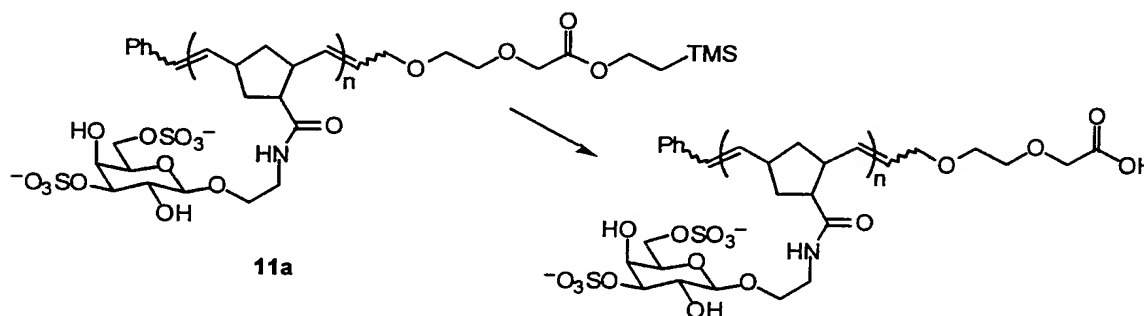
#### Synthesis of Polymer **21a**

Dichloroethane (DCE) and, in a separate reaction vessel, a solution of the sodium salt of 3,6-disulfo galactose monomer **13** (15 mg, 0.027 mmol), dodecyltrimethyl ammonium bromide (DTAB) (13.5 mg, 0.044 mmol) and 2,2-bis(hydroxymethyl)-2,2',2''-nitrilotriethanol (bis-tris) buffer (91  $\mu$ L, 100 mM, pH 5.9) were deoxygenated by subjecting each solution to four freeze-pump-thaw (FPT) cycles. The deoxygenated dichloroethane (45  $\mu$ L) was added to a vial containing ruthenium metal carbene **14** (1.5 mg, 0.0018 mmol) under nitrogen, and the purple solution was added to the reaction vessel containing the buffered solution of monomer and DTAB. The reaction was heated to 40-45°C for 20 minutes, capping agent **18** (10  $\mu$ L) was added neat, and the mixture was stirred at 40-45°C for 15 minutes. The reaction was allowed to cool to room temperature and stirred for 6 hours. The crude mixture was diluted

with H<sub>2</sub>O and MeOH until the solution was one phase and the final volume was approximately 1 mL. The polymer was purified by cation exchange chromatography (SEPHADEX-SP C-25, Pharmacia, Piscataway, NJ; 0.75 x 4.0 cm; Na<sup>+</sup>, H<sub>2</sub>O eluent), concentration to dryness, suspension of the residue in MeOH and centrifugation (3x). The MeOH insoluble material was dissolved in H<sub>2</sub>O and concentration to dryness afforded capped, polymer **21a** as a light brown, flaky solid in moderate yields (60 - 80%).

#### Deprotection of Polymer 21a

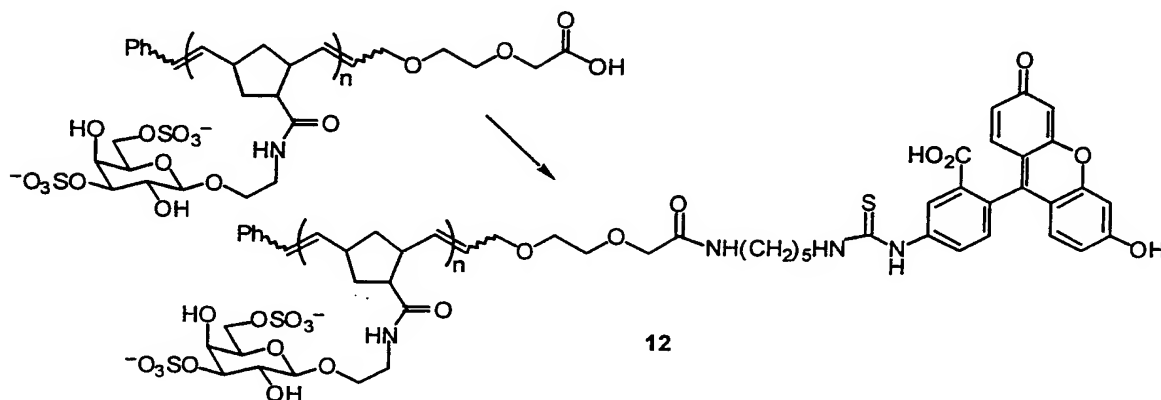
Capped polymer **21a** was dissolved in H<sub>2</sub>O (95  $\mu$ L), and 1 M NaOH (5  $\mu$ L) was added. The flask was fitted with a cold finger, and the solution was heated at 60°C for 2 hours. After cooling to rt, the solution was diluted with H<sub>2</sub>O to a final volume of 1 mL and neutralized (AMBERLYST 15 strongly acidic, macroreticular resin, Aldrich). The mixture was filtered through a small plug of glass wool to remove the resin and then concentrated to dryness, affording the deprotected polymer.



#### Synthesis of Conjugate **22**

Deprotected polymer (3.2 mg) was dissolved in H<sub>2</sub>O (60  $\mu$ L). EDCI (0.8 mg, 0.004 mmol) and *N*-hydroxysulfosuccinimide (sulfo NHS, Pierce, Roickford, IL) (0.9 mg, 0.004 mmol) were added, and the mixture was incubated at room temperature for 5 minutes. 5-((5-aminopentyl)thioureidyl) fluorescein (fluorescein cadaverine) (1.3 mg, 0.002 mmol) was added and the reaction was stirred at room temperature in the dark for 24 hours. The fluorescein-coupled polymer was purified by cation exchange chromatography (SEPHADEX-

SP C-25, Pharmacia; 0.75 x 4.0 cm; Na<sup>+</sup>, H<sub>2</sub>O eluent) and size exclusion chromatography (SEPHADEX G-25, Pharmacia, 0.75 x 22.0 cm, H<sub>2</sub>O eluent), affording fluorescein-coupled polymer **22** (2.2 mg, 69%).



#### Synthesis and Modification of Oxygen-terminated polymer **27**

5 DCE and, in a separate reaction vessel, a solution of the sodium salt of 3,6-disulfo galactose monomer **23** (15 mg, 0.027 mmol) and DTAB (13.5 mg, 0.044 mmol) in bis-tris buffer (91  $\mu$ L, 100 mM, pH 5.9) were deoxygenated by subjecting each solution to four freeze-pump-thaw cycles. The deoxygenated DCE (45  $\mu$ L) was added to a vial containing the ruthenium carbene **14** (1.5 mg, 0.0018 mmol) under nitrogen, and the purple solution was  
 10 added to the reaction vessel containing the buffered solution of monomer and DTAB. The reaction was heated to 60°C for 2.5 hours, allowed to cool to room temperature, and then opened to the atmosphere and stirred for 12 hours. 5-(((2-(carbohydrazino) methyl)thio)acetyl)aminofluorescein **26** (Molecular Probes, Eugene, OR, 2.5 mg, 0.0051 mmol) was added and the reaction was stirred at room temperature in the dark for 48 hours.  
 15 The fluorescein-coupled polymer was purified by cation exchange chromatography (SEPHADEX-SP C-25, Pharmacia; 0.75 x 4.0 cm; Na<sup>+</sup>, H<sub>2</sub>O eluent) and washing with MeOH (3x), affording fluorescein-coupled polymer **17** (8.6 mg, 57%).

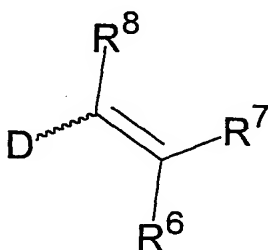
### Fluorescence Microscopy

Jurkat cells were cultured at 37°C and 5% CO<sub>2</sub> in RPMI 1640 with 10% fetal calf serum, 100 U/mL penicillin, 100 µg/mL streptomycin, 2 mM L-glutamine, and 1 mM sodium pyruvate. Cell viability was greater than 95% as determined by staining with 0.4% Trypan Blue. For each experiment, 5 x 10<sup>5</sup> live cells were used. Jurkat cells were centrifuged at 750 x g for 1 minutes, supernatant culture media was decanted and the cells were resuspended in 1 mL cold PBS. The cells were centrifuged again and resuspended in 100 µL of cold PBS. FITC-labeled anti-L-selectin antibody or fluorescein labeled polymer 22 or fluorescein polymer 17 were added. The final concentration of the polymer was 4 mM in galactose residues. Cells were incubated at 4°C for 30 minutes and washed twice with 2 mL cold PBS. Cells were fixed in 1 mL of fresh 2% HEPES buffered paraformaldehyde at 4°C for 30 minutes and washed twice with 2 mL cold PBS. Cells were centrifuged and resuspended in 50 mL of cold PBS. The cell solution was then applied to cover slips and mounted on clean glass slides with 5 mL of VectaShield anti-quenching agent. Slides were incubated overnight at 4°C and then viewed under an oil-immersion lens (630x) on a Zeiss Axioskop microscope (Zeiss, Germany) outfitted with a FITC-selective filter and Princeton Instruments MicroMax camera. Images were acquired using IPLab Spectrum software (Signal Analytics Corporation (Vienna, VA)). Images presented are representative of the results obtained from a minimum of 4 independent trials.

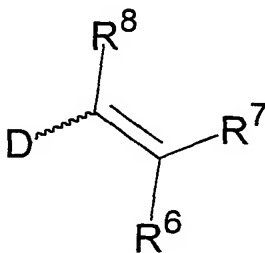
The complete disclosures of the patents, patent documents, and publications cited herein are incorporated by reference in their entirety, as if each were individually incorporated. Various modifications and alterations to this invention will become apparent to those skilled in the art without departing from the scope and spirit of this invention. It should be understood that this invention is not intended to be unduly limited by the illustrative embodiments and examples set forth herein and that such examples and embodiments are presented by way of example only with the scope of the invention intended to be limited only by the claims set forth herein as follows.

## CLAIMS

1. A method of preparing a telechelic polymer, the method comprising:  
polymerizing at least one monomer comprising at least one polymerizable group in the  
presence of at least one ruthenium or osmium carbene catalyst to form a polymer; and  
5 combining the polymer with at least one capping agent under conditions effective to  
react the polymer with the capping agent  
wherein either the carbene catalyst, the capping agent or both are functionalized and a  
terminally functionalized polymer is formed.
2. The method of claim 1 wherein the functionalized capping agent comprises a latent  
10 reactive group for subsequent reaction with a functionalizing reagent.
3. The method of claim 2 wherein the functionalized capping agent has the following  
formula:

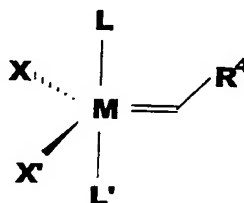


- wherein D is an electron donating group and R<sup>6</sup> is an organic group that includes a  
latent reactive group selected from an azide, a nitro group, a disulfide, a hydrazine, a  
15 hydrazide, a hydroxylamine, an aldehyde, a ketone, an epoxide, a cyano group, an  
acetal, a ketal, a carbamate, a thiocyanate, an activated ester, and an activated acid,  
and R<sup>7</sup> and R<sup>8</sup> are independently hydrogen or an organic group.
4. The method of claim 1 wherein the functionalized capping agent comprises a  
nonreactive functional group.
- 20 5. The method of claim 4 wherein the functionalized capping agent has the following  
formula:



wherein D is an electron donating group and R<sup>6</sup> is an organic group that includes a nonreactive functional group selected from natural products or analogs thereof, metal chelators, metals, fluorescent probes, solid supports, an metal surfaces, and R<sup>7</sup> is H or an organic group.

6. The method of claim 1 wherein the polymer is a monotelechelic polymer.
7. A method of claim 1 wherein the ruthenium or osmium carbene catalyst is functionalized.
8. The method of claim 7 wherein the functionalized carbene catalyst comprises a latent reactive group for subsequent reaction with a functionalizing reagent.
9. The method of claim 8 wherein the functionalized carbene is represented by M=CR<sup>4</sup>R<sup>5</sup>, wherein R<sup>4</sup> is an organic group that includes a latent reactive group, R<sup>5</sup> is H or an organic group, and M represents ruthenium or osmium in a ligand sphere.
10. The method of claim 9 wherein R<sup>4</sup> is an organic group that includes a latent reactive selected from the group of an azide, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, an activated acid, a hydrazine, and a hydrazone.
11. The method of claim 9 wherein the functionalized carbene has the following formula:

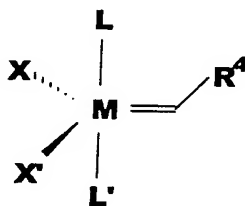


wherein M is Ru or Os, X and X' are each independently an anionic ligand, L and L' are each independently a neutral ligand, and R<sup>4</sup> is an organic group that includes a latent reactive group selected from the group of an azide, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, an activated acid, a hydrazine, and a hydrazone.

12. The method of claim 7 wherein the functionalized carbene catalyst comprises a nonreactive functional group.
13. The method of claim 12 wherein the functionalized carbene is represented by M=CR<sup>4</sup>R<sup>5</sup>, wherein R<sup>4</sup> is an organic group that includes a nonreactive functional

group,  $R^5$  is H or an organic group, and M represents ruthenium or osmium in a ligand sphere.

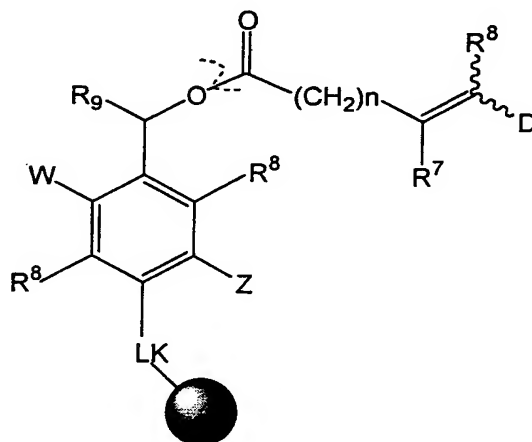
14. The method of claim 13 wherein  $R^4$  is an organic group that includes a nonreactive functional group selected from natural products or analogs thereof, metal chelators, metals, fluorescent probes, solid supports, and metal surfaces.
15. The method of claim 14 wherein the functionalized carbene has the following formula:



wherein M is Ru or Os, X and X' are independently an anionic ligand, L and L' are independently a neutral ligand, and  $R^4$  is an organic group that includes a nonreactive functional group selected from natural products or analogs thereof, metal chelators, metals, fluorescent probes, solid supports, or metal surfaces.

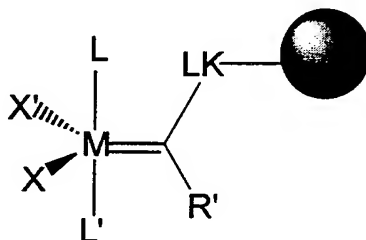
16. The method of claim 7 wherein the polymer is a monotelechelic polymer.
17. The method of claim 1 wherein the polymer is a bitelechelic polymer.
18. The method of claim 17 wherein the functionalized capping agent comprises a latent reactive group for subsequent reaction with a functionalizing reagent.
19. The method of claim 17 wherein the functionalized capping agent comprises a nonreactive functional group.
20. The method of claim 17 wherein the functionalized carbene catalyst comprises a latent reactive group for subsequent reaction with a functionalizing reagent.
21. The method of claim 20 wherein the functionalized carbene is represented by  $M=CR^4R^5$ , wherein  $R^4$  is an organic group that includes a latent reactive group,  $R^5$  is H or an organic group, and M represents ruthenium or osmium in a ligand sphere.
23. The method of claim 21 wherein  $R^4$  is an organic group that includes a latent reactive selected from the group of an azide, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, an activated acid, a hydrazine, and a hydrazone.

24. The method of claim 1 wherein the functionalized capping agent comprises a cleavable linker.
25. The method of claim 24 wherein the capping agent comprising a nonreactive functional group.
26. The method of claim 25 wherein the nonreactive functional group is a solid support.
27. The method of claim 26 wherein the capping agent has the formula:



where D is an electron donating group;  $R^8$ , independent of other  $R^8$  in the capping agent, is hydrogen or an organic group; n is an integer ranging from 1 to about 20,  $R^9$  is hydrogen or an organic group; W is an electron withdrawing group and Z is an electron donating group and  $LK_2$  is a linker group for attachment to the solid support.

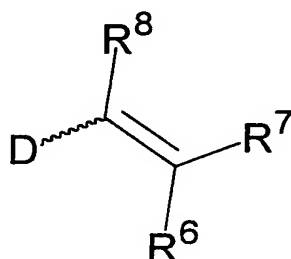
28. The method of claim 27 wherein W is an  $NO_2$  group and Z is a OR group where R is an alkyl moiety.
29. The method of claim 1 wherein the functionalized metal carbene catalyst comprises a nonreactive functional group.
30. The method of claim 29 wherein the nonreactive functional group is a solid support.
31. The method of claim 30 wherein the functionalized metal carbene catalyst has the formula:





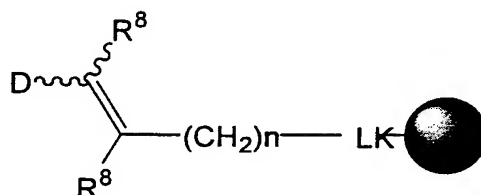
wherein M is Ru or Os, X and X' are independently an anionic ligand and together can form a bidentate anion ligand, L and L' are independently a neutral ligand and together can form a neutral bidentate ligand, R' is hydrogen or an organic group and LK is a n optional linker group that is an organic group.

32. A functionalized capping agent having the following formula:



wherein D is an electron donating group and R<sup>6</sup> is an organic group that includes an ethylene glycol group and a latent reactive group selected from an azide, a nitro group, a disulfide, a hydrazine, a hydrazide, a hydroxylamine, an aldehyde, a ketone, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, and an activated acid, and R<sup>7</sup> is H or an organic group.

33. The capping agent of claim 32 having the formula:

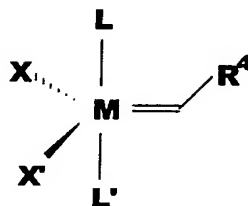


where D is an electron donating group; R<sup>8</sup>, independent of other R<sup>8</sup> in the capping agent, is hydrogen or an organic group; n is an integer ranging from 1 to about 20; and LK is a linking group that is an organic group having functionality that allows attachment to a solid support.

34. The capping agent of claim 33 wherein LK has the formula: -(M)<sub>m</sub>-LK<sub>1</sub>-(N)<sub>p</sub>-LK<sub>2</sub>, where M and N are the same or different organic groups; m and p are integers ranging from 0 to about 20 and LK<sub>1</sub> and LK<sub>2</sub> are functional groups.

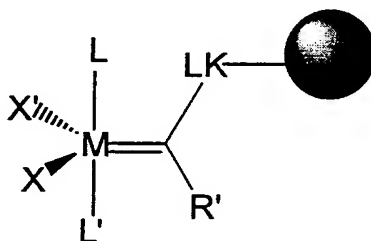
35. The capping agent of claim 34 wherein LK1 is a cleavable functional group.

36. A functionalized carbene having the following formula:



wherein M is Ru or Os, X and X' are independently an anionic ligand and together form an anionic bidentate ligand, L and L' are independently a neutral ligand and together form a neutral bidentate ligand, and R<sup>4</sup> is an organic group that includes a latent reactive group selected from the group of an azide, an epoxide, a cyano group, an acetal, a ketal, a carbamate, a thiocyanate, an activated ester, an activated acid, a hydrazine, and a hydrazone.

37. A solid-supported functionalized carbene having the formula:

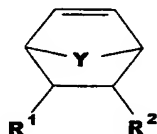


wherein M is Ru or Os, X and X' are independently an anionic ligand and together form an anionic bidentate ligand, L and L' are independently a neutral ligand and together form a neutral bidentate ligand, R' is a hydrogen or an organic group and LK is a cleavable linker to a solid support.

38. A method of preparing a multivalent array, the method comprising:

polymerizing at least one monomer comprising at least one polymerizable group and at least one latent reactive group in the presence of a metal carbene catalyst to form a polymer template comprising at least one latent reactive group; and combining the polymer template with at least one functionalizing reagent comprising at least one reactive group under conditions effective to react the latent reactive group of the polymer template with the reactive group of the functionalizing reagent to form a multivalent array.

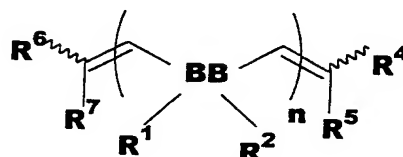
39. The method of claim 38 wherein the monomer comprises only one polymerizable group.
40. The method of claim 39 wherein the monomer is a cyclic mono-olefin.
41. The method of claim 40 wherein the cyclic mono-olefin is a bicyclic compound.
- 5 42. The method of claim 38 wherein the monomer further comprises functional groups nonreactive with the reactive group of the functionalizing reagent.
43. The method of claim 38 wherein the latent reactive group of the monomer comprises a nucleophilic group and the reactive group of the functionalizing reagent comprises an electrophilic group.
- 10 44. The method of claim 38 wherein the latent reactive group of the monomer comprises an electrophilic group and the reactive group of the functionalizing reagent comprises a nucleophilic group.
45. The method of claim 44 wherein the nucleophilic group is selected from the group of amines, azides, hydroxyls, thiols, sulfones, acyl hydrazides, nitro groups, phosphites, hydrazines, oximes, isocyanates, hydroxamic acids, and thiocyanates.
- 15 46. The method of claim 44 wherein the electrophilic group is selected from the group of acyl sulfonamides, acyl azides, epoxides, anhydrides, esters, carboxylic acids, halides, boronic acids and esters, ketones, aldehydes, phosphoric acid esters, phosphites, acyl nitriles, alkenes, and alkynes.
- 20 47. The method of claim 44 wherein the electrophilic group is an activated ester group and the nucleophilic group is a primary amine group.
48. The method of claim 38 wherein the monomer has the following general structure:



wherein Y is CH<sub>2</sub>, O, S, or N-R<sup>3</sup> (wherein R<sup>3</sup> is H or an organic group), R<sup>1</sup> and R<sup>2</sup> are each independently H or an organic group, which may be connected such that they form a ring, with the proviso that at least one of R<sup>1</sup> and R<sup>2</sup> includes a latent reactive group.

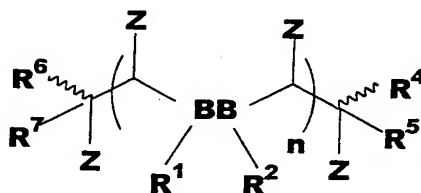
49. The method of claim 48 wherein the monomer is bicyclo[2.2.1]hept-5-ene-*exo*-2-carboxylic acid *N*-hydroxysuccinimide ester.
50. The method of claim 38 wherein polymerizing at least one monomer comprises sequentially polymerizing two or more different monomers in the presence of a metal carbene catalyst to form a polymer template comprising alternating blocks of the different monomers.
51. The method of claim 50 wherein each different monomer comprises a different latent reactive group for subsequent attachment of pendant functional groups.
52. The method of claim 51 wherein at least one of the monomers includes a nonreactive pendant functional group that requires no further functionalization.
53. The method of claim 38 wherein polymerizing at least one monomer comprises simultaneously polymerizing two or more different monomers.
54. The method of claim 38 further comprising reacting the multivalent array with a reagent to functionalize polymer backbone alkene bonds in the array.
55. The method of claim 38 wherein the functionalizing reagent that reacts with the latent reactive group of the polymer template comprises a carbohydrate or a peptide.
55. The method of claim 38 wherein polymerizing the monomer is carried out in an organic solvent at room temperature.
57. The method of claim 38 further comprising combining the polymer template with a capping agent to react with a terminus of the polymer template prior to combining it with the functionalizing reagent.
58. The method of claim 57 wherein the capping agent is an electron rich alkene.
59. The method of claim 58 wherein the electron rich alkene comprises a reporter group that facilitates detection.
60. The method of claim 59 wherein the electron rich alkene is linked to or capable of linking to a solid support or a metal surface.
61. The method of claim 38 wherein combining the polymer template with at least one functionalizing reagent comprises combining the polymer template with less than a stoichiometric amount of a first functionalizing reagent.
62. The method of claim 61 further comprising combining the polymer template with less than a stoichiometric amount of a second functionalizing reagent.

63. A polymer template having the following general structure:



wherein "BB" represents the backbone repeat unit, which may be cyclic or acyclic, and may be the same or different in a random or block arrangement,  $R^1$  and  $R^2$  are each independently H or an organic group, which may be connected such that they form a ring, with the proviso that at least one of  $R^1$  and  $R^2$  includes a protected amine or an activated ester,  $R^4$ ,  $R^5$ ,  $R^6$ , and  $R^7$  is H or an organic group, and  $n$  is the average number of repeating monomer units.

64. The polymer template of claim 63 wherein  $n$  is at least 2.
65. The polymer template of claim 63 wherein at least one of  $R^4$ ,  $R^5$ ,  $R^6$ , and  $R^7$  includes a functional group.
66. A polymer template having the following general structure:



wherein "BB" represents the backbone repeat unit, which may be cyclic or acyclic, and may be the same or different in a random or block arrangement,  $R^1$  and  $R^2$  are each independently H or an organic group, which may be connected such that they form a ring, with the proviso that at least one of  $R^1$  and  $R^2$  includes a protected amine or an activated ester,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$  is H or an organic group,  $Z$  is independently hydrogen, a halide, hydroxyl, a thiol, or an amine, and  $n$  is the average number of repeating monomer units.

67. The polymer template of claim 66 wherein at least one of  $R^4$ ,  $R^5$ ,  $R^6$ , and  $R^7$  includes a functional group.

68. A kit comprising the polymer template of claim 66 and instruction means for using a functionalizing reagent to attach a pendant functional group to the polymer template.
69. The kit of claim 68 further comprising at least one functionalizing reagent.
70. The kit of claim 69 further comprising at least one capping agent.
- 5 71. The kit of claim 70 wherein the capping agent is functionalized.
72. The kit of claim 70 wherein the capping agent is an electron rich alkene.
73. The kit of claim 72 wherein the electron rich alkene comprises a reporter group that facilitates detection.
74. The kit of claim 68 further comprising at least one functionalizing reagent.
- 10 75. The kit of claim 74 further comprising at least one capping agent.
76. A library which comprises a plurality of multivalent arrays wherein a multivalent array is a polymer comprising a plurality of monomer repeating units wherein each monomer repeating unit may be the same or different, wherein a portion of the monomers in the polymer comprise a functional group and each multivalent array of the library has defined length and defined functional group density.
- 15 77. A library of claim 76 wherein the polymer of the multivalent array comprises two or more different monomers.
78. A library of claim 77 wherein different monomers carry different functional groups.
79. A library of claim 76 wherein the distance between monomers carrying the same functional group is defined.
- 20 80. A library of claim 76 wherein the spacing between monomers carrying different functional groups is defined.
81. A library of claim 76 wherein the multivalent arrays of the library are synthesized to have substantially the same length, but differ in functional group density.
- 25 82. A library of claim 76 wherein the multivalent arrays of the library are synthesized to have substantially different lengths, but have substantially the same functional group density.
83. A library of claim 76 wherein the multivalent arrays of the library carry the same functional groups.
- 30 84. A library of claim 76 wherein the multivalent arrays of the library carry different functional groups.

85. The library of claim 76 wherein the functional groups are biologically active functional groups.
86. The library of claim 76 wherein the multivalent arrays of the library are linked to a solid.
- 5 87. The library of claim 76 wherein the multivalent arrays are monotelechelic polymers.
88. The library of claim 76 wherein the multivalent arrays are bitelechelic polymers.
89. A library comprising a plurality of multivalent arrays wherein each multivalent array is made by the method of claim 1.
90. A library comprising a plurality of multivalent arrays wherein each multivalent array is made by the method of claim 38.
- 10 91. A method for generating a library comprising a plurality of multivalent arrays which comprises the steps of:
- (a) synthesizing each multivalent array by the method of claim 1 and
  - (b) combining the multivalent arrays to generate a library.

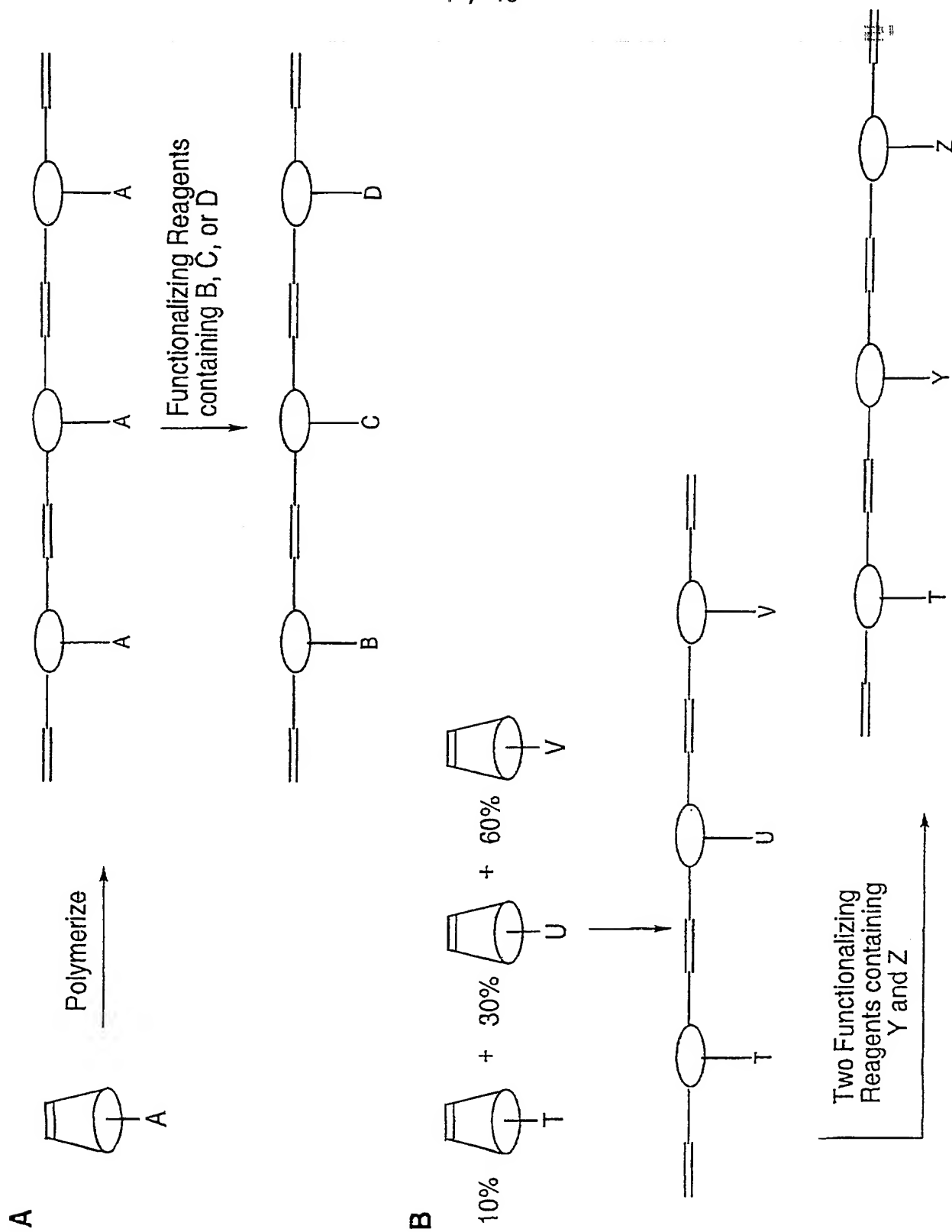


FIG. 1



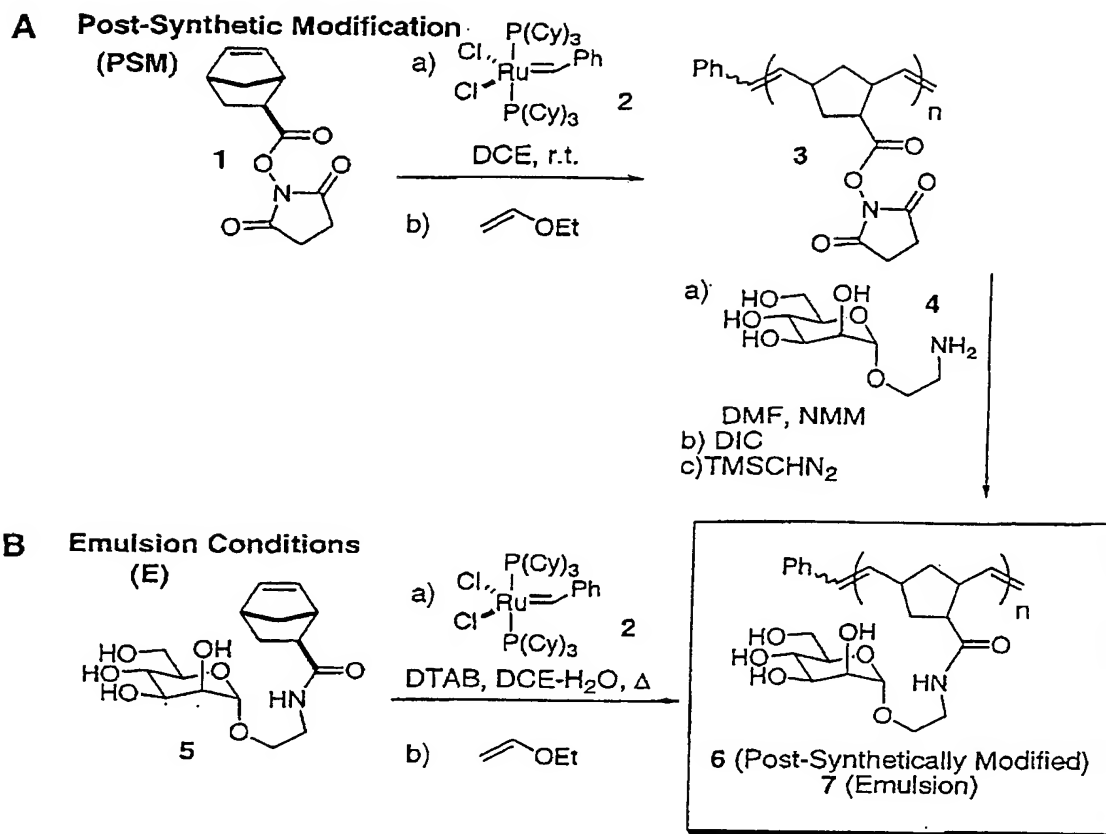


FIG. 2

## Incorporation of Unique Functionality

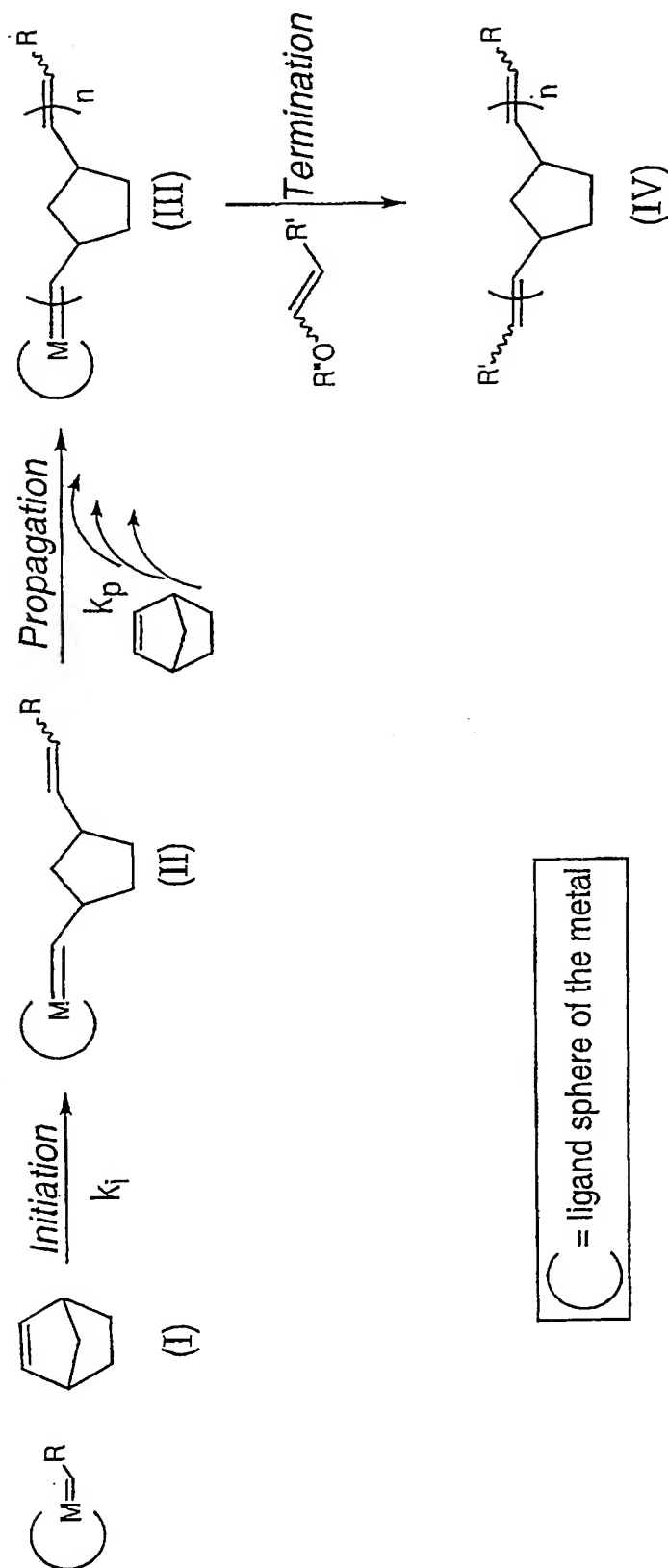


FIG. 3

## Telechelic Polymers

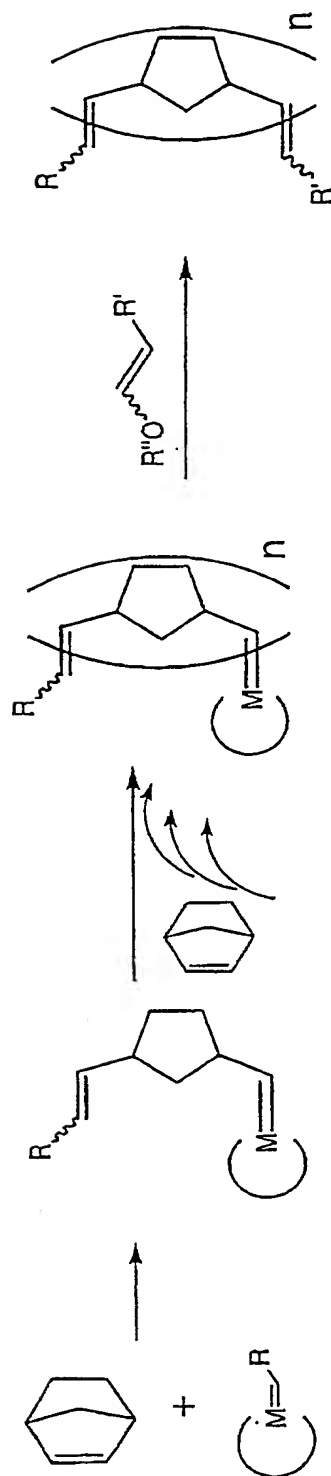
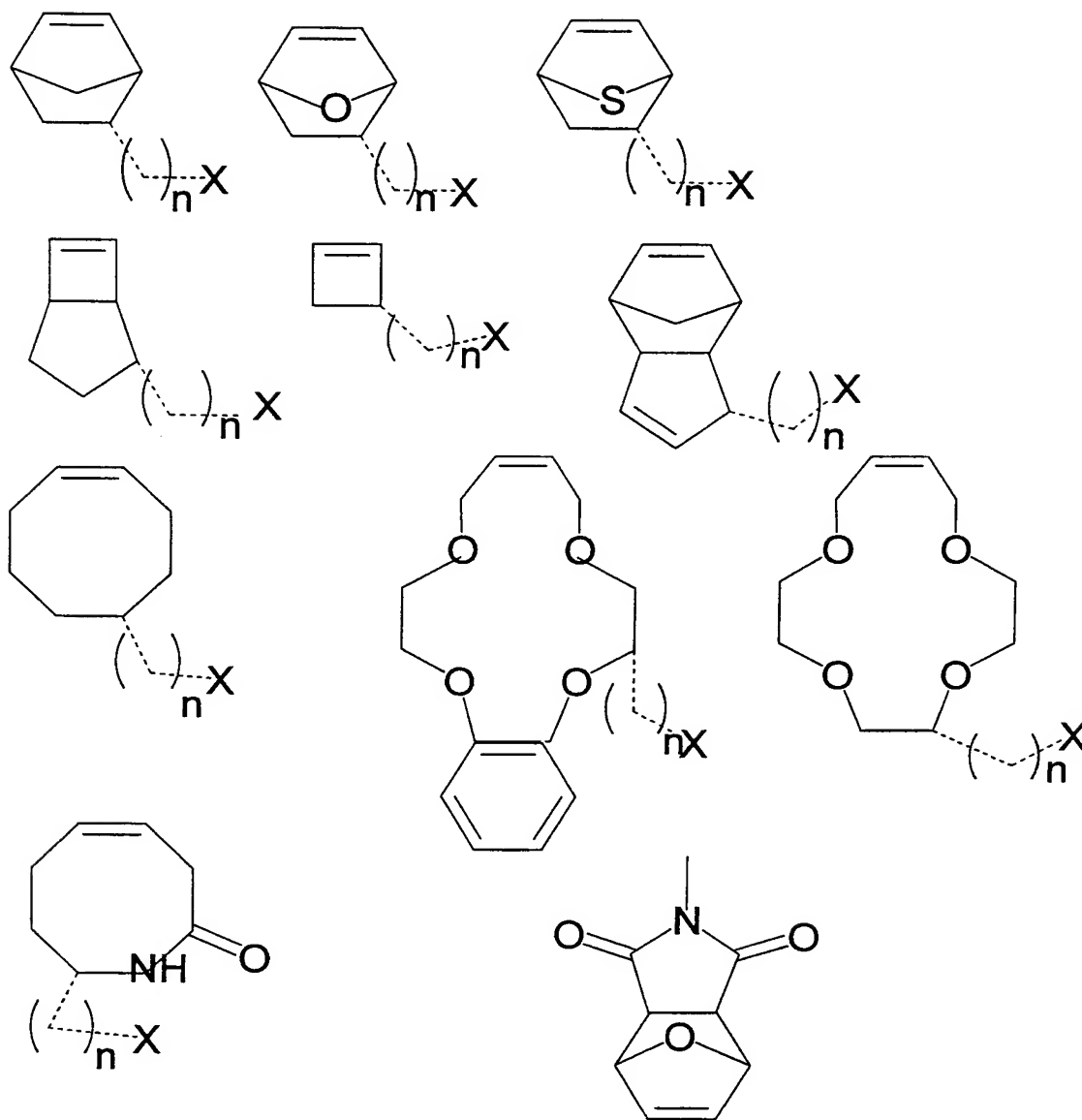


FIG. 4

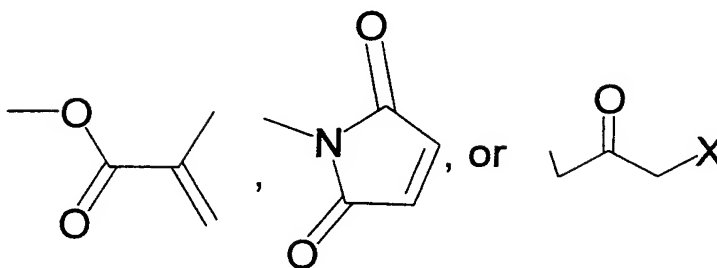
(C) = ligand sphere of the metal

FIG. 5



where X = I, Br, CN,  
OAc, OBn, OH,  
OCH<sub>2</sub>CO<sub>2</sub>H, N(Pr)<sub>2</sub>,

n is 0, 1, 3



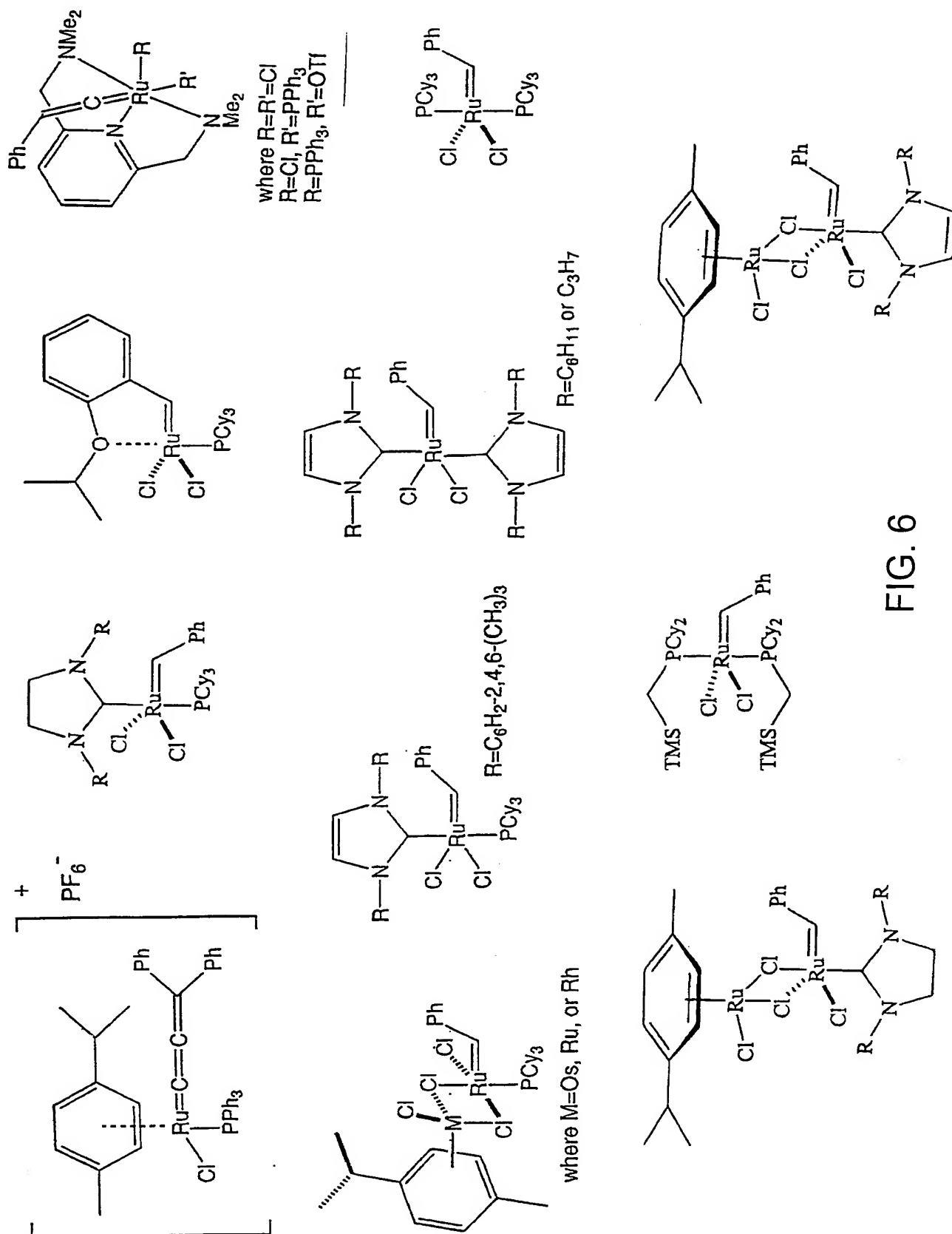
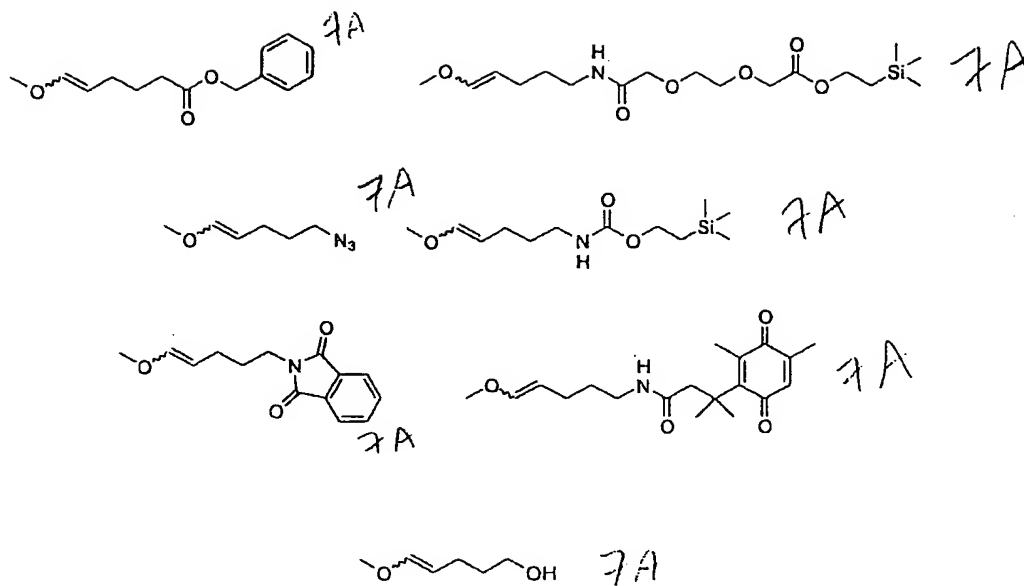


FIG. 6



## Capping Agents: Reactive Functional Groups

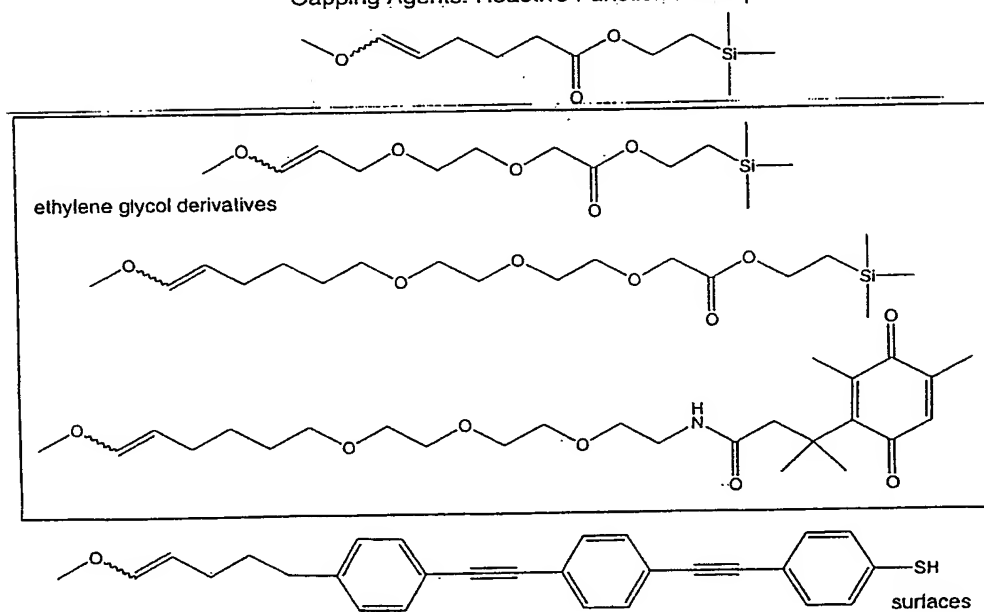


FIG. 7A

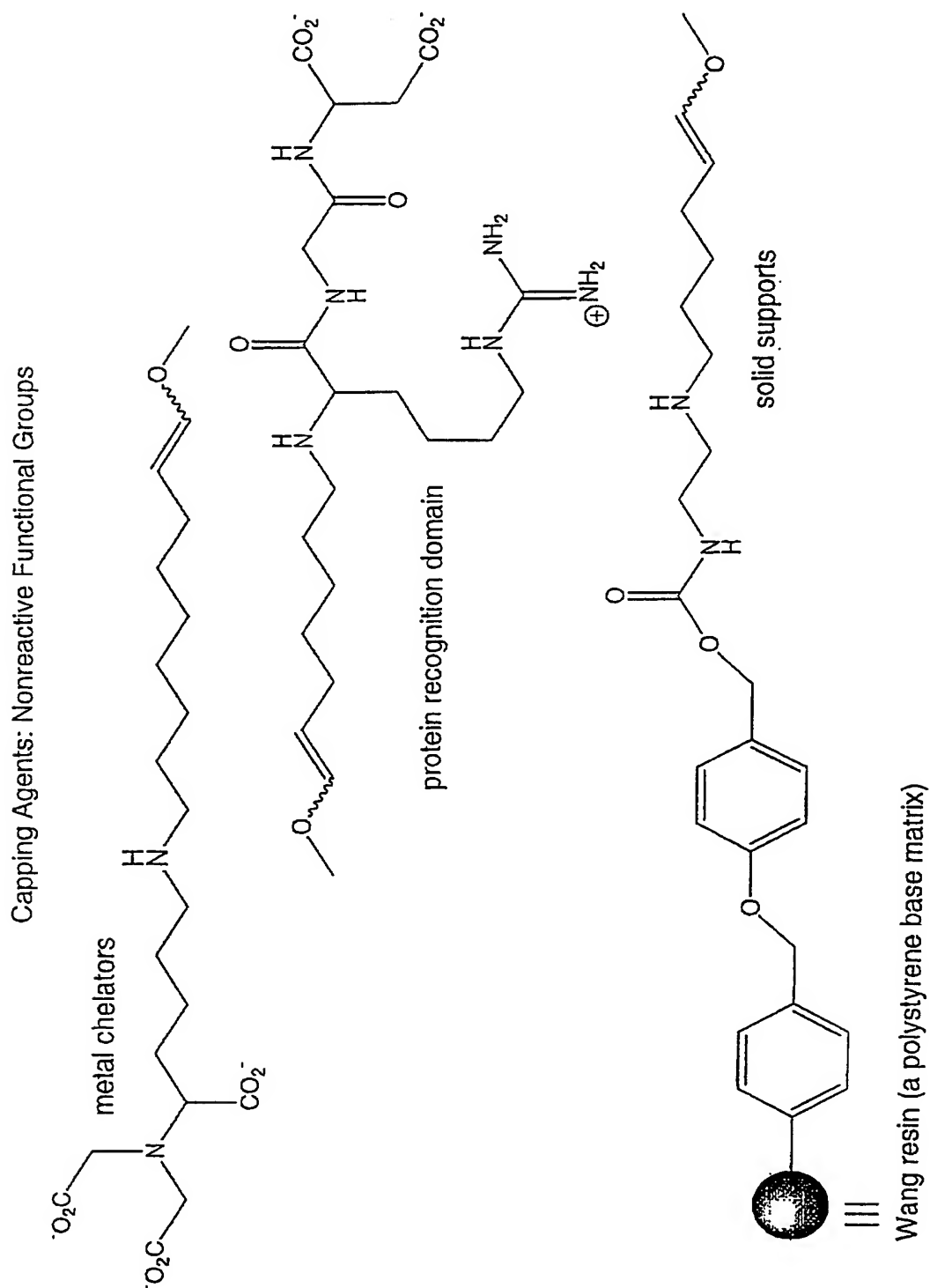


FIG. 7B

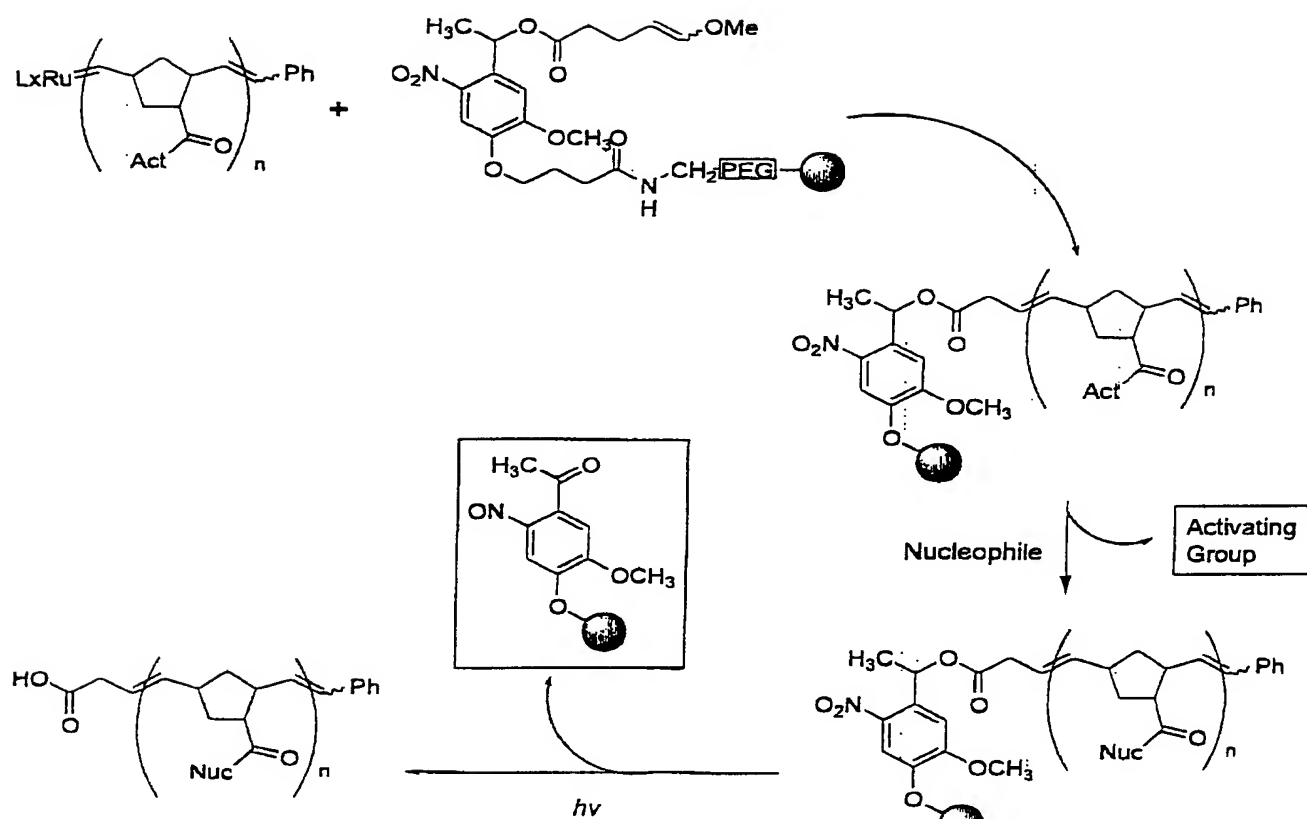


FIG. 8A





11 / 16

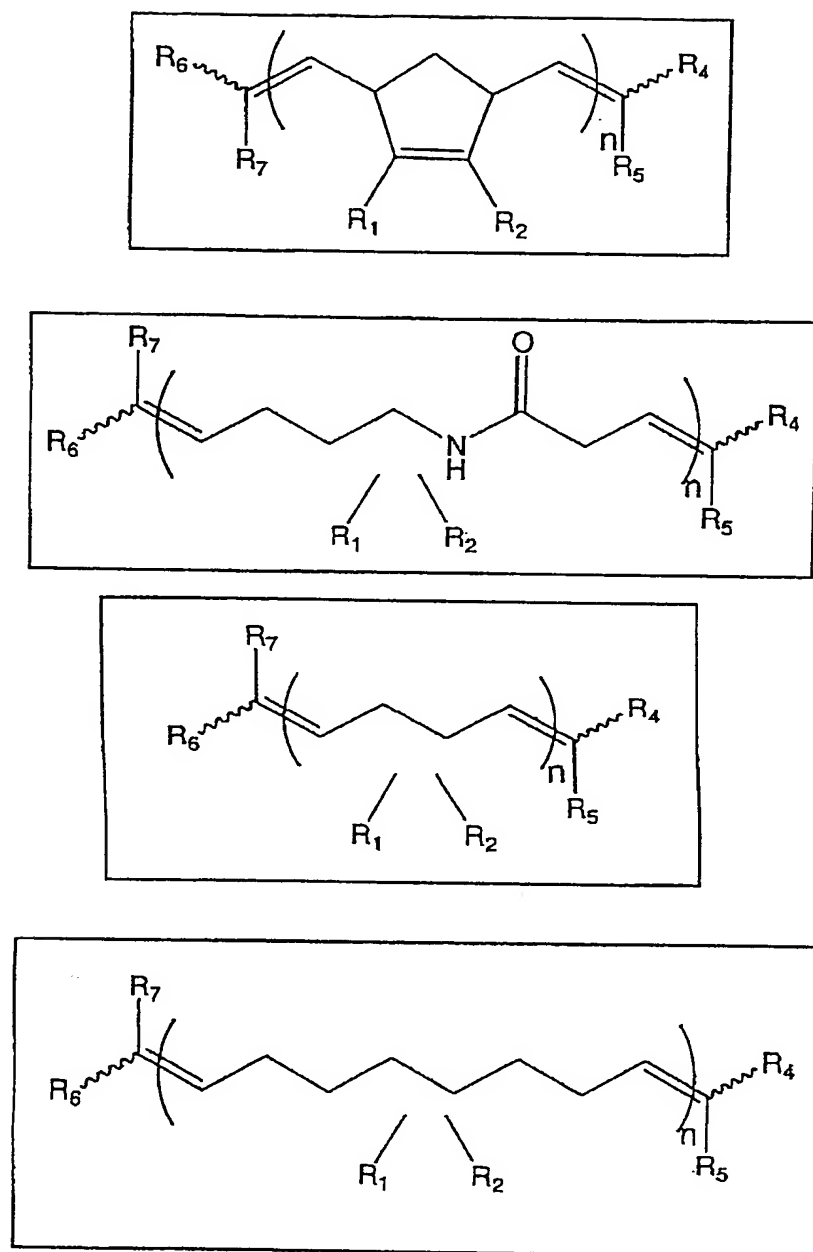


FIG. 9

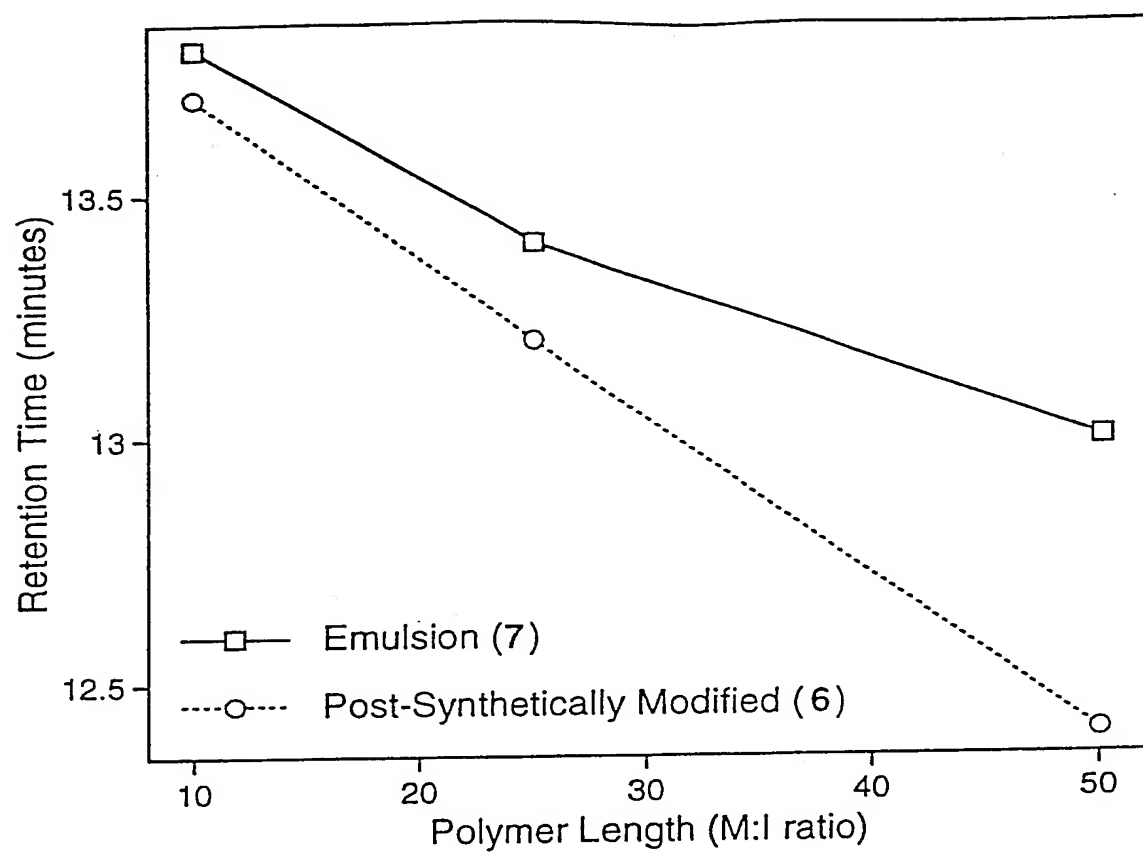


FIG. 10

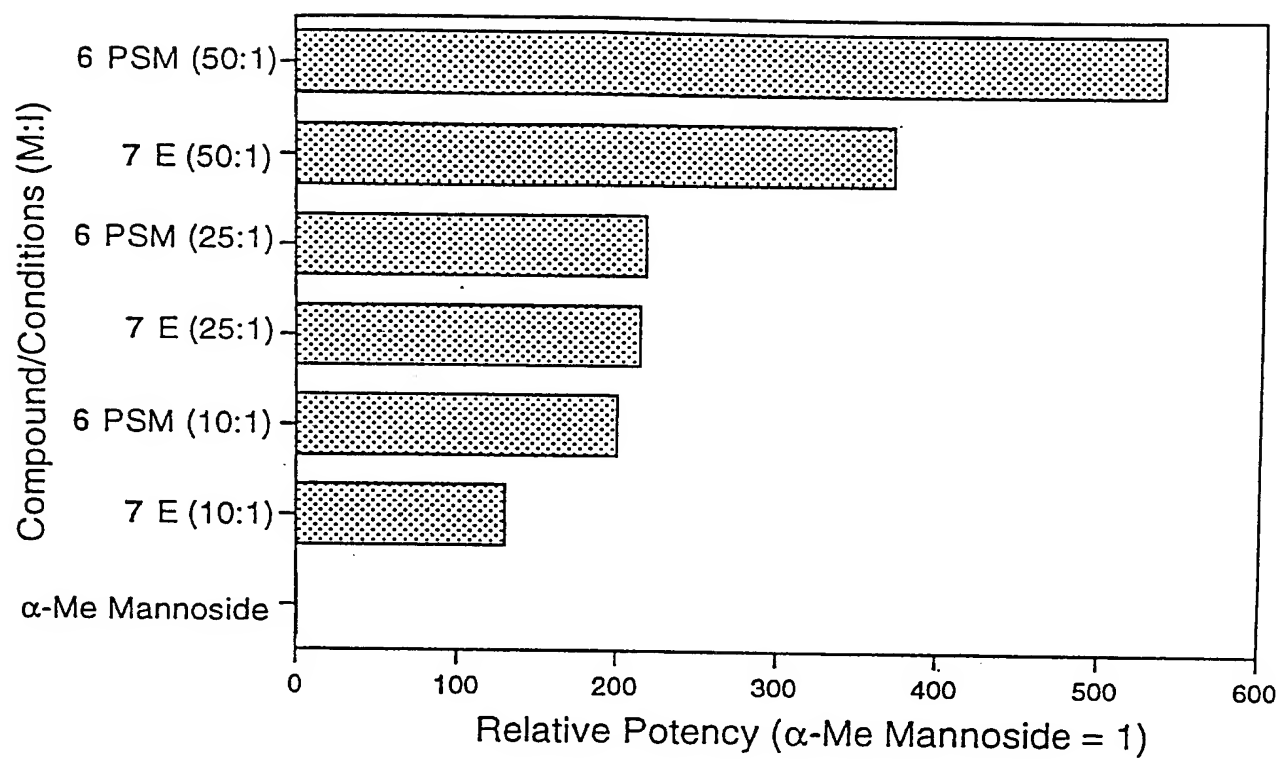


FIG. 11



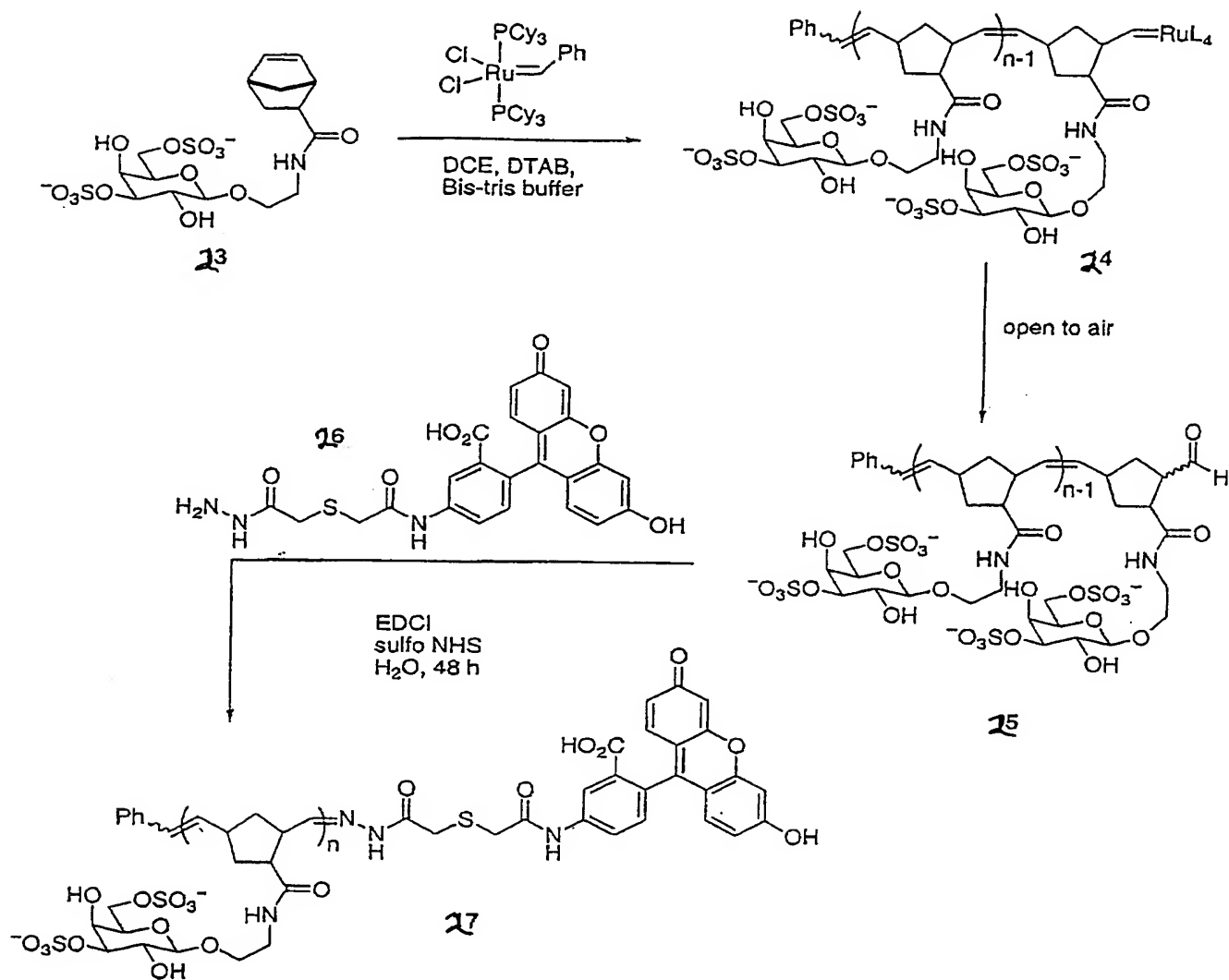


FIG. 13

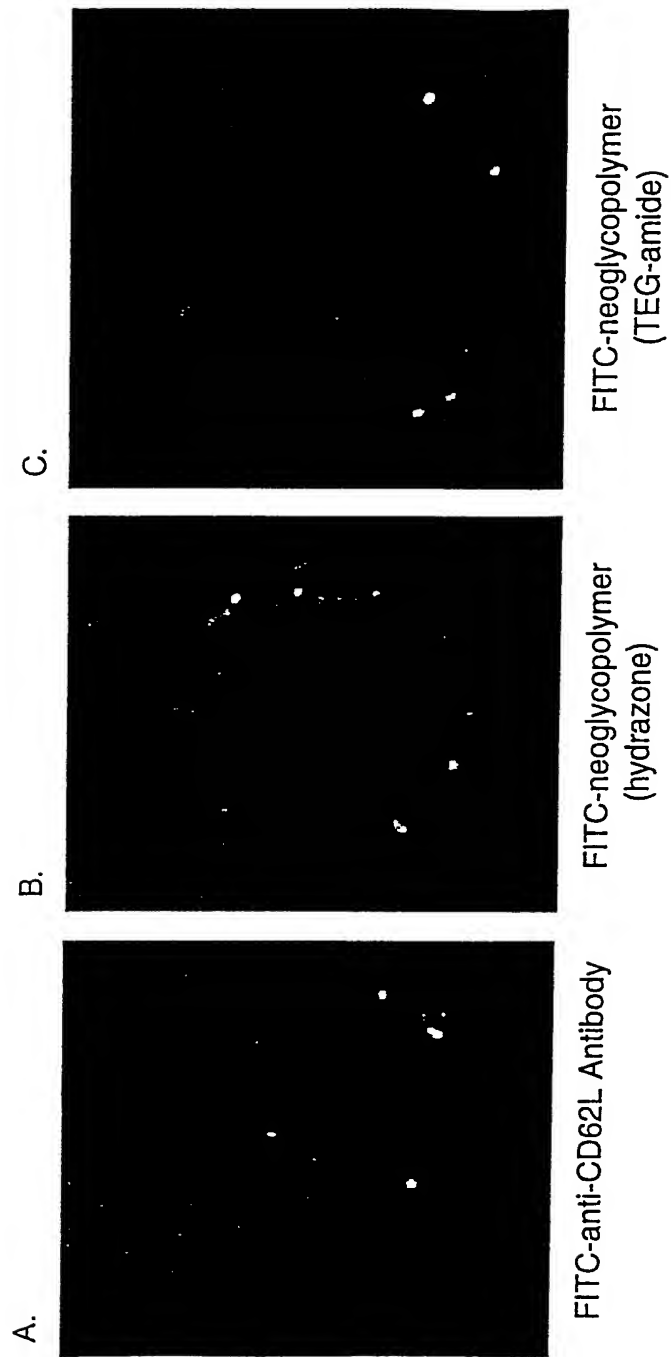


FIG. 14

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/40245

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :CO8F 4/80  
US CL :526/171, 172, 256, 258, 259, 281, 304; 556/136, 137; 502/330  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 526/171, 172, 256, 258, 259, 281, 304; 556/136, 137; 502/330

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EAST  
search terms: carbene, osmium, ruthenium, ROMP

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,E	US 6,080,826 (GRUBBS et al) 27 June 2000, col. 4, line 10 through col. 32, line 43.	1-75
Y	US 5,880,231 (GRUBBS et al) 09 March 1999, col. 12, line 37 through col. 13, line 4.	1-75



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

31 AUGUST 2000

Date of mailing of the international search report

04 OCT 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

R. Harlan

Telephone No. (703) 308-0651